



GUIDELINES

ON

**IMPLEMENTATION OF THE UN SECURITY COUNCIL
RESOLUTIONS CONCERNING TARGETTED FINANACIAL
SANCTIONS, TRAVEL BAN, AND ARMS EMBARGO**

**Issued by
National Committee on the
Implementation of the UN Security
Council Resolutions on Combating
Terrorism and Financing of Terrorism**

**Ministry of Foreign Affairs
Government of the People's Republic of Bangladesh**

Table of Contents

1. INTRODUCTION AND OBJECTIVES	3
2. UNSC AND UNSC RESOLUTIONS.....	6
3. LEGAL FRAMEWORK FOR IMPLEMENTATION OF UNSC RESOLUTIONS.....	14
4. COORDINATION, COMMUNICATION AND MONITORING ARRANGEMENTS	17
5. TARGETED SANCTIONS REGIME AND DESIGNATIONS	24
6. TARGETED FINANCIAL SANCTIONS	31
7. TRAVEL BAN	37
8. ARMS EMBARGO	40
9. OTHER SANCTION PROVISIONS	45
ACRONYMS	47

Annexure 1 – FOCAL POINTS – CONTACT LIST

Annexure 2 – REPORT FORMAT – TEMPLATE

Annexure 3 - 1267 FACT SHEET FOR LISTING PERSONS & ENTITIES

Annexure 4 – EXTRACT FROM 1267 COMMITTEE GUIDELINES – SECTION 6: LISTING

Annexure 5 – STANDARD FORM FOR SUBMISSION TO THE 1267 COMMITTEE FOR LISTING OF ENTITIES

Annexure 6 – STANDARD FORM FOR SUBMISSION TO THE 1267 COMMITTEE FOR LISTING OF INDIVIDUALS

Annexure 7 – EXTRACT FROM 1988 COMMITTEE GUIDELINES – SECTION 7: LISTING

Annexure 8 - STANDARD FORM FOR SUBMISSION TO THE 1988 COMMITTEE FOR LISTING OF INDIVIDUALS

Annexure 9 – STANDARD FORM FOR SUBMISSION TO THE 1988 COMMITTEE FOR LISTING OF ENTITIES

Annexure 10 - GUIDANCE FOR AN ENLISTED INDIVIDUAL OR PROSCRIBED ENTITY REQUESTING REVIEW OF A DOMESTIC LISTING

Annexure 11 – 1267 COMMITTEE FACT SHEET ON EXEMPTIONS FROM ASSET FREEZE

Annexure 12 - GUIDANCE FOR AN INDIVIDUAL OR ENTITY SEEKING DELISTING FROM THE AL-QAIDA SANCTIONS LIST THROUGH 1267 COMMITTEE OMBUDSPERSON

1. INTRODUCTION & OBJECTIVES

A. INTRODUCTION

This guideline is issued by the National Committee on the Implementation of the United Nations Security Council Resolutions on Combating Terrorism and Financing of Terrorism to help government officials in ministries and agencies in Bangladesh to gain a better understanding of the provisions of UNSC resolutions related to terrorism and terrorist financing; to have a better understanding of the domestic enabling legislation to implement the resolutions in Bangladesh; and to provide a common understanding of the obligations of each ministry and agency and of the operational issues for implementation of relevant UNSC resolutions.

In addition to the UNSC resolutions on terrorism and financing of terrorism, this guideline provides information to facilitate implementation of UNSC resolutions 1988 (2011) and 2082 (2012) concerning the Taliban.

After reading this guideline, you should:

- a. understand why Bangladesh is required to act upon the UNSC resolutions adopted under Chapter VII of the Charter of the United Nations;
- b. know your responsibilities in implementing the UNSC resolutions;
- c. understand the domestic legislation and regulation that empowers you to implement the resolutions;
- d. know the administrative arrangements and lines of communication related to implementing the UNSC resolutions; and
- e. have an awareness of the reporting obligations of the ministries and agencies in Bangladesh and the obligations on the Government of Bangladesh to report to the UN Security Council.

B. OBJECTIVE

The objective of this guideline is to help government officials in ministries and agencies to implement Bangladesh's obligations, as a Member State of the United Nations, concerning the specified resolutions.

C. ABOUT THE GUIDELINE AND HOW TO USE IT

This guideline has been designed to assist government officials from all relevant ministries and agencies to understand the steps their respective organisations need to take for the effective implementation of SRO No. 398/2012 and SRO 188/2013, which relate to the implementation of resolutions concerning terrorism and the financing of terrorism, as well as resolutions 1988 (2011) and 2082 (2012) concerning the Taliban.

The guideline focuses on the international obligations upon Bangladesh to give effect to the UNSC resolutions; the nature and scope of those obligations; the national legal

framework empowering agencies to take actions to comply with the resolutions; coordination and communication arrangements; and specific actions required by each agency.

The guideline can be used to raise awareness among officials in each relevant ministry and agency concerning the actions required to implement the SROs and other enabling legislation, to give effect to the listed resolutions.

It should be used to enhance operational procedures, so that agency processes and procedures are adjusted to incorporate required actions into the normal business activities of each agency.

The guideline also provides information, which will assist to strengthen the capacity of government agencies through increased knowledge of what is required for effective implementation. During implementation of the provisions of the SROs, and other legislative obligations, officials may identify additional capacity building requirements for their agency. Such development needs should be reported to the National Committee, through the relevant Focal Point, which can assist agencies to identify bilateral and multi-lateral opportunities for requesting technical assistance to build additional capacity within agencies in Bangladesh.

This guidance does not contain the full text of the resolutions or the full text of relevant laws and regulations of Bangladesh, which give effect to the resolutions. Each ministry and agency should refer to the complete text of each listed UNSC resolution to better understand the nature and context of the resolutions.

The guideline is divided into nine parts:

- Part 1 Provides information on the purpose and benefit of the guideline;
- Part 2 Contains information on the UNSC and an outline of each UNSC resolution covered by SRO No. 398/2012 as amended by SRO No. 188/2013;
- Part 3 Provides information of the relevant laws and regulations adopted in Bangladesh to enable implementation of the UNSC resolutions;
- Part 4 Provides coordination, communication and monitoring arrangements;
- Part 5 Deals with the designation (listing) of individuals and entities;
- Part 6 Covers implementation of Targeted Financial Sanctions, including freezing and exemption provisions;
- Part 7 Concerns procedures for implementation of Travel Bans;
- Part 8 Covers the implementation of Arms Embargo; and
- Part 9 Deals with other sanctions provisions.

D. DEFINITIONS IN THIS GUIDELINE

The following definitions apply in this guideline:

- (a) 'Government' means the Government of the People's Republic of Bangladesh;
- (b) 'Designated individuals and entities' are the individuals, groups, undertakings and entities that are designated by the UNSC Committee and are listed in the Schedule or requested by other countries under UNSC resolution 1373 (2001).
- (c) 'Freeze' means to prohibit the transfer, conversion, disposition or movement of any funds and other financial assets or economic resources that are owned or controlled by listed individuals or entities on the basis of, and for the duration of the validity of, an action initiated by the United Nations Security Council or in accordance with applicable Security Council resolutions by the competent authority.
- (d) 'Funds and other financial assets or economic resources' means-
 - (i) any type of funds or assets, corporeal or incorporeal, moveable or immovable, tangible or intangible, however acquired, and legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets or funds and any interest, dividends or other income on or value accruing from or generated by such funds or assets.
 - (ii) cash, any other type of financial assets, economic resources, whether tangible or intangible, movable or immovable, however acquired, and legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such funds or other assets, including, but not limited to, bank credits, travellers cheques, bank cheques, money orders, shares, securities, bonds, drafts, or letters of credit, and any interest, dividends or other income on or value accruing from or generated by such funds or other assets; which is located inside or outside the country;
 - (iii) those used for the provision of Internet hosting or related services, used for the support of the listed individuals and entities;
 - (iv) cash, or any other type of financial assets, economic resources paid as ransoms to individuals, groups, undertakings or entities on the UNSC sanctions lists
- (e) 'National Committee' means the National Committee on the Implementation of the United Nations Security Council Resolutions on Combating Terrorism and Financing of Terrorism formed pursuant to paragraph 6 of SRO No. 398/2012 and headed by the Foreign Secretary.
- (f) 'Proscription and Enlistment Committee' means the Proscription and Enlistment Committee formed pursuant to Rule 7 of the Anti Terrorism Rules 2013 headed by the Secretary of the Ministry of Home Affairs

2. UNSC AND UNSC RESOLUTIONS

A. INTRODUCTION

The following resolutions adopted by the UN Security Council under Chapter VII are relevant for the purposes of this guideline:

Resolutions 1267 (1999), 1333 (2000), 1363 (2001), 1373 (2001), 1390 (2002), 1452 (2002), 1455 (2003), 1540 (2004), 1526 (2004), 1617 (2005), 1718 (2006), 1730 (2006), 1735 (2006), 1737 (2006), 1747 (2007), 1803 (2008), 1822 (2008), 1874 (2009), 1904 (2009), 1929 (2010), 1988 (2011), 1989 (2011), 2082 (2012), 2083 (2012).

The guideline will be updated as and when successor resolutions are adopted by the UNSC.

Section 2.C of the guideline provides an outline of the operative elements of the resolutions and is organised into four parts –

1. **resolutions relating to the Al-Qaida sanctions** under UNSCRs 1267 / 1989 and successors to 1267;
2. **resolutions relating to the Taliban sanctions** under UNSCR 1988 and current and successor resolutions to resolution 1988 (2011);
3. resolution 1373 (2001); and
4. resolutions concerning **weapons of mass destruction and sanctions imposed upon the Democratic People's Republic of Korea and the Islamic Republic of Iran.**

B. UNITED NATIONS SECURITY COUNCIL

Under the Charter of the United Nations, the Security Council has primary responsibility for the maintenance of international peace and security. Under the Charter, all Member States are obligated to comply with Council decisions.

The Security Council takes the lead in determining the existence of a threat to the peace or act of aggression. It calls upon the parties to a dispute to settle it by peaceful means and recommends methods of adjustment or terms of settlement. In some cases, the Security Council can resort to imposing sanctions or even authorize the use of force to maintain or restore international peace and security.

United Nations Security Council resolutions are formal expressions of the opinion or will of United Nations Security Council. They generally consist of two clearly defined sections; a preamble part and an operative part. The preamble paragraphs generally present the considerations on the basis of which action is taken, an opinion is expressed or a directive is given – they give background and set the scene for the

operative part. The operative paragraphs state the opinion of the Security Council or the action to be taken by UNSC committees or Member States.

In this section of the guideline there is an outline of the key operative elements of each specified resolution for the information of officials in Bangladesh. Each Ministry and Agency should refer to the complete text of each listed UNSC resolution to better understand the full context and purpose of the resolution. The UNSC resolutions are available on the website of the UN Security Council website.

The essential requirement for officials is to know and understand the domestic enabling legislation adopted by the Government of Bangladesh, which facilitates implementation of the UNSC resolutions. Domestic laws and regulations are referenced in Part 3 of the guideline.

B. UNITED NATIONS SECURITY COUNCIL

UNSC Resolutions 1267 (1999) / 1989 (2011) and Successor Resolutions (Al-Qaida Sanctions)

UNSCR 1267 (1999) was adopted in 1999, after recalling previous resolutions on the situation in Afghanistan. This Resolution established a sanctions regime to cover individuals and entities associated with Al-Qaida, Osama bin Laden and/or the Taliban. The sanctions regime has since been reaffirmed and modified by a number of subsequent UN Security Council Resolutions. The regime is composed of a UN Security Council Committee, a consolidated list of people and entities it has determined as being associated with Al-Qaida or the Taliban, and laws which must be passed within each Member State in order to implement the sanctions. The Resolution also provides for the Committee to receive reports from each Member State as to how they are working under UNSC Resolution 1267.

The UNSC established a 1267 Monitoring Team pursuant to UNSCR 1363, adopted in July 2001. The Monitoring Team is responsible to:

- (a) Monitor the implementation of measures included in resolution 1267 and 1333;
- (b) Provide assistance to states neighbouring Afghan territory under Taliban control with regard to the implementation of the sanctions; and
- (c) Investigate violations of the measures.

UNSCR 1333 (2000) demanded that the Taliban comply with Resolution 1267, cease support for terrorists, close training camps and end illegal drug activities. All Member States were directed to cease providing assistance, weapons and training to the Taliban; those that maintained diplomatic relations with the Taliban were asked to reconsider their relations and reduce the number of staff at Taliban missions. It was decided that all States were to close the offices of the Taliban and Ariana Afghan Airlines; freeze the assets of Osama bin Laden and those associated with him; prevent the supply of acetic anhydride; and prohibit aircraft to land, take off or overfly

their territory if it had taken off and was to land in Taliban territory. A travel ban was also imposed on senior Taliban officials, which would not apply in religious or humanitarian circumstances.

The 1267 Committee was asked to establish and maintain lists related to different aspects of the sanctions regime against the Taliban, grant exceptions and report periodically on violations of the measures. In this regard, all countries were urged to co-operate with the Committee in the implementation of the sanctions.

UNSCR 1363 (2001) established a mechanism to monitor the implementation of sanctions against the Taliban and requested Member States to comply with previous sanctions against the Taliban, Al-Qaida and Osama bin Laden. The monitoring and implementation mechanism was to:

- (a) monitor the implementation of measures included in resolution 1267 and 1333;
- (b) provide assistance to states neighbouring Afghan territory under Taliban control with regard to the implementation of the sanctions;
- (c) investigate violations of the measures.

UNSCR 1390 (2001) imposes further sanctions on Osama bin Laden, Al-Qaida, the Taliban and others associated with them. The resolution continues measures that would freeze the funds of Al-Qaida and the Taliban and lifts measures in relation to aircraft formerly controlled by the Taliban in accordance with Resolution 1388 (2002). It imposed further measures with respect to Osama bin Laden, the Taliban and Al-Qaida, calling on all states to:

- (a) freeze economic resources and other financial assets without delay;
- (b) prevent entry into or the transit through their territories of the individuals and organisations;
- (c) impose an arms embargo.

Member States were asked to strengthen and enforce the sanctions under their domestic laws against individuals and entities operating on their territory to deal with violations of the measures. Furthermore, they were subsequently invited to report the results of their findings to the Committee unless it would compromise their investigations.

UNSCR 1452 (2002) concerns Al-Qaida, the Taliban and terrorism, and states that financial sanctions against the organisations would not apply to necessary basic expenses, such as payments for food, rent or mortgage, medicine and medical care, health insurance, taxes and professional fees for maintenance of frozen funds, etc. or for extraordinary expenses approved by the 1267 Committee. Under UNSC resolution 1452 (2002) Member States could also allow the addition of interest or other earnings to accounts under freezing orders.

UNSCR 1455 (2003) improved the implementation of measures, against Al-Qaida, the Taliban and terrorism, under the freezing regime and required updated reporting on steps taken to implement the sanctions against the Taliban, Al-Qaida and Osama bin Laden. The resolution also determined additional reporting obligations for the Monitoring Group.

UNSCR 1526 (2004) strengthened sanctions, including the freezing of financial assets and funds controlled by the Taliban, Al-Qaida, Osama bin Laden and other groups. All states were called upon to cut the supply of financial assets and funds to designated individuals and organisations and to establish internal procedures for the monitoring of currency across borders. At the same time, states had to inform sanctioned individuals of the measures imposed against them and report on the measures they had taken to implement the sanctions. An Analytical Support and Sanctions Monitoring Team was established to assist the 1267 Committee in the fulfilment of its mandate.

UNSCR 1617 (2005) continued sanctions of asset freezing, travel ban and the prevention of sale of weapons, against Al-Qaida, the Taliban, Osama bin Laden and associate groups. It also defined the nature of entities to be "associated with" Al-Qaida, the Taliban, Osama bin Laden. The resolution also addressed the role of the Al-Qaida and Taliban Sanctions Committee and its subsidiary, the monitoring team, in dealing with terrorist suspects and groups. Measures included a checklist in order for states to fulfil their obligations to the Committee, and for Member States forwarding names to the Committee to put forward a "statement of case" describing the reasoning behind the request.

UNSCR 1735 (2006) reiterated the previously adopted freezing, travel ban and other sanctions measures in respect of individuals and entities of the Consolidated List. It emphasized that the sanctions measures were preventative and should not be reliant upon criminal standards set out in respective national laws. The resolution also provided a cover sheet for listing submissions to ensure clarity and consistency in requests for listing. It also adopted precise rules regarding listing and delisting procedures and required Member States to inform listed individuals and entities of their designation.

UNSCR 1822 (2008) clarified procedures for the maintenance of the Consolidated List of persons to whom sanctions apply and confirmed that all States should apply a combination of sanctions described in resolutions 1267 (1999), 1333 (2000) and 1390 (2002), including the assets freeze, travel restrictions and the arms embargo. It required the Committee established pursuant to resolution 1267 (1999) to make accessible on its website publicly releasable reasons for listing the individuals or entities concerned.

UNSCR 1904 (2009) expressed concern at the increase in kidnappings and hostage-takings by individuals or groups for political gain or with the aim of raising funds. It added further measures in addition to those in Resolution 1267 (1999), including Member States to permit the addition to frozen accounts "of any payment in favour of listed individuals, groups, undertakings or entities", provided that such payments were already subject to earlier measures. It included the need for exemptions on humanitarian grounds to be carried out transparently. Resolution 1904 also increased the efficiency of the "Consolidated List", by streamlining the listing process of names

of individuals and entities onto the List, for example, by requesting Member States to provide as much information as possible to the Sanctions Committee. It also established an Office of the Ombudsperson and elaborated on the duties of the Ombudsperson.

UNSCR 1989 (2011) concerns the sanctions regime first established by resolution 1267 (1999) and modified and strengthened by subsequent resolutions, including resolutions 1333 (2000), 1390 (2002), 1455 (2003), 1526 (2004), 1617 (2005), 1735 (2006), 1822 (2008), 1904 (2009), so that the sanctions measures now apply to designated individuals and entities associated with Al-Qaida, wherever located. This resolution (along with UNSCR 1988) split the Al-Qaida related lists and the Taliban related lists and leaves the Al-Qaida Sanctions List under the 1267 Committee (1267 / 1989 Committee). The resolution reinforces all sanctions measures implemented under the 1267 (1999) and its successor resolutions.

UNSCR 2083 (2012) reaffirmed and strengthened the previous Al-Qaida sanctions regime and confirmed that the asset freeze requirements under previous resolutions shall also apply to the payment of ransoms to individuals, groups, undertakings or entities on the Al-Qaida Sanctions List. It also requires Member States proposing a new designation, as well as Member States that have proposed names for inclusion on the Al-Qaida Sanctions List before the adoption of this resolution, to specify if the Committee or the Ombudsperson may not make known the Member State's status as a designating State. It further, encouraged Member States to have regard to the Financial Action Task Force (FATF) international standards and the FATF best practices relating to targeted financial sanctions. The resolution calls for further efforts for review and maintenance of the Al-Qaida Sanctions List.

UNSC Resolutions 1988 (2011) and Current And Successor Resolutions (Taliban Sanctions)

UNSCR 1988 (2011) imposes an assets freeze, a travel ban and an arms embargo on individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan as designated by the Committee on the List established pursuant to resolution 1988 (2011). The Afghan-Taliban individuals listed under the list of sanctioned individuals created after Resolution 1267 were moved to the new sanctions list created by this current resolution (1988 (2011)). A new "Afghanistan Sanctions Committee" was established to oversee the implementation of the sanctions. The new Committee was to lift sanctions against former Taliban members who had renounced violence and joined the reconciliation process and new sanctions regime was to be transparent and sanctions had to be enforced fairly.

UNSCR 1730 (2006) adopted a de-listing procedure provided in the annex of the resolution. It required establishment of a focal point within the Secretariat to receive requests for de-listing. The focal point was to receive petitions for de-listing which would then be forwarded on to the governments of the individual's residence. If de-listing is approved, rejected or no action is taken by the relevant governments, the Committee would be informed in all instances and if necessary, approve or reject the application. The petitioner would then be informed of the decision.

UNSCR 2082 (2012) reaffirms the assets freeze, travel ban and arms embargo on individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan, as designated by the Committee on the List established pursuant to resolution 1988 (2011). It invites the Government of Afghanistan to submit for the Committee's consideration the names of listed individuals for whom it confirms travel to specific locations is necessary to participate in meetings in support of peace and reconciliation.

UNSC Resolution 1373 (2001) on Terrorism and Financing of Terrorism

UNSC resolution 1373 (2001) targets international terrorism in general and requires member states to take, *inter alia*, the following measures:

To criminalise the financing of terrorism;

- freeze without delay the funds and other financial assets or economic resources of persons who commit, or attempt to commit, terrorist acts or participate in or facilitate the commission of terrorist acts; of entities owned or controlled directly or indirectly by such persons; and of persons and entities acting on behalf of, or at the direction of such persons and entities, including funds or other assets derived or generated from property owned or controlled, directly or indirectly by such persons and associated persons and entities;
- prohibit their nationals or other persons and entities within their territories from making any funds or financial or other related services available for the benefit of persons who commit or attempt to commit or facilitate or participate in the commission of terrorist acts, of entities owned or controlled, directly or indirectly, by such persons and of persons and entities acting on behalf of or at the direction of such persons.

This resolution gives member states authority to designate the persons and entities that should have their assets frozen. Designations are made by states and not by the UNSC or its 1267 Committee.

UNSC Resolutions on Weapons of Mass Destruction and Targeted Sanctions on DPRK and Iran

UNSCR 1540 (2004) concerns the non-proliferation of weapons of mass destruction (WMD). The resolution establishes the obligations for all member states to develop and enforce appropriate legal and regulatory measures against the proliferation of chemical, biological, radiological, and nuclear weapons and their means of delivery, in particular, to prevent the spread of WMD to non-state actors. It requires every state to criminalize various forms of non-state actor involvement in WMD and its related activities in its domestic legislation and, once in place, to enforce such legislation.

UNSCR 1718 (2006) imposes a series of economic and commercial sanctions on the Democratic People's Republic of Korea (DPRK) in the aftermath of DPRK's claimed nuclear test of October 9, 2006. The resolution's provisions include:

- DPRK must "not conduct any further nuclear test or launch of a ballistic missile", "suspend all activities related to its ballistic missile programme"

and "abandon all nuclear weapons and existing nuclear programmes in a complete, verifiable and irreversible manner".

- The DPRK must also "return immediately to the six-party talks without precondition".
- Shipments of cargo going to and from DPRK may be stopped and inspected for WMD or associated item.
- A ban is placed on imports and exports of "battle tanks, armoured combat vehicles, large calibre artillery systems, combat aircraft, attack helicopters, warships, missiles or missile systems", "related materiel including spare parts" and any other items identified by the sanctions committee.
- UN member states must freeze the overseas assets of individuals and companies involved with the DPRK's weapons programmes. An international travel ban is also placed on programme employees and their families.
- UN members are banned from exporting luxury goods to North Korea.

UNSCR 1737 (2006)

The resolution imposed sanctions against Iran for failing to stop its uranium enrichment program following resolution 1696. It banned the supply of nuclear-related technology and materials and froze the assets of key individuals and companies related to the enrichment program.

UNSCR 1747 (2007) tightened the sanctions imposed on Iran in connection with the Iranian nuclear program. In the Resolution 1747, the Council decided to tighten the sanctions imposed on Iran, under resolution 1737, in connection with that nation's nuclear program. It also resolved to impose a ban on arms sales and to step up the freeze on assets already in place.

UNSCR 1803 (2008) required Iran to cease and desist from any and all uranium enrichment. It also required Iran to stop any research and development associated with centrifuges and uranium enrichment. It extended the asset freezes and called upon states to monitor the activities of Iranian banks, inspect Iranian ships and aircraft, and to monitor the movement of individuals involved with the program through their territory.

UNSCR 1874 (2009) imposes further economic and commercial sanctions on the DPRK and encourages UN member states to search North Korean cargo, in the aftermath of an underground nuclear test conducted on 25 May 2009.

UNSCR 1929 (2010) determined that the Iranian government had yet to meet the requirements of previous resolutions and IAEA requirements. It affirmed that Iran should immediately co-operate with the IAEA on all outstanding issues, particularly with regards to activity at Qom, clarifications on a possible military use of the nuclear program and granting unrestricted access to all sites, persons, equipment and documents requested by the IAEA.

Further provisions of the resolution included:

- A ban on all countries providing military vehicles, aircraft or warships and missiles or missile systems and related materiel to Iran;
- A ban on training, financing or assistance related to such arms and materiel and restraint over the sale of other arms and material to Iran;
- A travel ban on individuals listed in the annexes of the resolution, with exceptions decided by the Committee established in Resolution 1737;
- The freezing of funds and assets of the Army of the Guardians of the Islamic Revolution and Islamic Republic of Iran Shipping Lines;
- Prevent the provision of fuel, supplies and servicing of Iranian vessels if they are involved in prohibited activities;
- Prevent the provision of financial services that may be used for sensitive nuclear activities;
- Exercise vigilance when dealing with Iranian individuals or entities if such business could contribute to Iran's sensitive nuclear activities;
- Prohibit the opening of Iranian banks in their territory and prevent Iranian banks from entering into relationships with banks in their jurisdiction if there is reason to suspect the activities could contribute to sensitive proliferation activities in Iran;
- Prevent financial institutions operating in their territories from opening offices and accounts in Iran if they would contribute to Iran's proliferation sensitive activities.

3. LEGAL FRAMEWORK FOR IMPLEMENTATION OF UNSC RESOLUTIONS

A. INTRODUCTION

In order to fulfill its obligations under UNSC resolutions, Bangladesh relies on a number of domestic legal provisions contained in the Anti Terrorism Act 2009, the Anti Terrorism Rules 2013, SROs and other laws, including laws related to immigration, trade and border security.

B. DOMESTIC LAWS AND REGULATIONS

Anti Terrorism Act, 2009 contains in s.20A specific provisions concerning implementation of UNSC resolution 1267 (1999) and its successor resolutions and UNSC resolution 1373 (2001).

S.15 empowers BFIU to order a reporting agency to:

- suspend or freeze transactions;
- monitor and supervise the activities of the reporting agencies;
- give directions to the reporting agencies to take preventive steps to prevent financing of terrorist activities and proliferation of weapons of WMD; and
- to provide training to the officers and employees of the reporting agencies for the purpose of identification of suspicious transactions and prevention of financing of terrorist activities.

It also provides for BFIU to inform the police or the appropriate law enforcement or any other agency of suspicious transactions connected to financing of terrorist activities and to provide all necessary cooperation to facilitate their inquiries and investigations into the matter.

In order to facilitate investigation relating to financing of terrorist activities, under section 15 of the ATA, the law enforcement or other agencies can access any document or file of any bank under the following conditions, namely, (a) according to an order passed by a competent court or special tribunal; or (b) with the approval of the BFIU.

Chapter Five of the ATA covers investigation of offences and makes special provisions with regard to examination of witnesses by police, with regard to recording of statement of a witness by a Magistrate, regarding recording of confession of an accused person, and importantly gives special provision with regard to seizure or attachment of terrorist property during investigation.

Section 20A of the ATA 2009 states, inter alia:

20A. Actions to implement United Nations Security Council Resolutions.- (1) *For the purposes of implementing United Nations Security Council Resolution No. 1267 and its successor resolutions and United Nations Security Council Resolution No. 1373 and United Nations Security Council resolutions related to the prevention, suppression and disruption of proliferation of weapons of mass destruction and its financing, the Government of Bangladesh shall, in addition to the power mentioned in other sections of this Act or in any other law for the time being in force, have power of taking measures-*

- (a) *to freeze, seize or attach, without delay and without issuing any prior notice, the property, funds or other financial assets or economic resources held by, including funds derived or generated from property owned or controlled directly or indirectly by the listed person or entity or by any undertaking owned or controlled by the listed person or entity, or on behalf of a natural person or an entity, if the name of the person or entity is included in the lists, maintained by the committee established under Resolution No. 1267 of the United Nations Security Council;*
- (b) *to freeze, seize or attach, without delay and without issuing any prior notice, the funds or other financial assets or economic resources of the person who commits, or attempts to commit terrorist acts or participates in or facilitates the commission of terrorist acts; or of entities owned or controlled directly or indirectly by such person; and of persons and entities acting on behalf of, or at the direction of such persons and entities, including funds derived or generated from property owned or controlled directly or indirectly by such person and associated persons and entities listed by the United Nations Security Council or proscribed or listed under Resolution No. 1373 of the United Nations Security Council;*
- (c) *to prohibit any willful provision or collection, directly or indirectly, of funds by any person or entity, whether in or outside Bangladesh, with the intention to use such funds or having the knowledge that they shall be used to carry out any terrorist act;*
- (d) *to prohibit any person or entity from making any funds, financial assets or economic resources of financial or other related services available, directly or indirectly, for the benefit of the persons or entities listed by the United Nations Security Council or proscribed or listed under Resolution No. 1373 or of entities owned or controlled, directly or indirectly, by such persons and of persons and entities acting on behalf of or at the direction of such persons;*
- (e) *to prevent the entry into or the transit through Bangladesh of the persons listed by the United Nations Security Council through effective border control and immigration measures;*
- (f) *to prevent any direct or indirect supply, sale and transfer, in or outside Bangladesh, of arms and ammunition and other related items, materials, equipment, goods and technologies to the persons or entities listed by the United Nations Security Council;*
- (g) *to deny permission for any aircraft to take off or land in their territory if it is owned, leased or operated by or on behalf of the persons or entities listed by the United Nations Security Council;*
- (h) *to prevent illicit trafficking in nuclear, chemical or biological weapons, their means of delivery and related materials, including through inspection of cargo to and from the persons or entities listed by the United Nations Security Council;*
- (i) *to prohibit and prevent any activity mentioned in the said Resolutions and related with the persons and entities listed by the United Nations Security Council;*
- (j) *to issue directions, from time to time, to the reporting agencies by Bangladesh Financial Intelligence Unit for proper implementation of this section;*
- (k) *to determine, by issuing order or notification, the appropriate authority to take required actions as per the power stated in clauses (a) to (i).*

Anti Terrorism Rules 2013 provide further details for freezing accounts or suspension of transactions and on arrangements for unfreezing accounts.

Part III of the AT Rules provides procedures for:

- proscription of entities and enlistment of persons deemed to be involved in terrorist activities and covers domestic proscription and enlistment;
- review of a proscription and enlistment order;
- requests to other countries to take actions; and
- proposing names for listing by the UNSC 1267 Committee.

The AT Rules also provides mechanisms for implementation of the provisions of UNSC resolutions and communication and coordination between ministries and agencies responsible for such implementation.

Statutory Regulatory Orders No. 398/2012 and No.188/2013 were issued exercising the powers conferred by section 2 of the United Nations (Security Council) Act 1948. The Government of Bangladesh issued SRO No. 398/2012 on 29 November 2012, which was amended and strengthened by SRO No. 188/2013 dated 18 June 2013.

The purpose of the SROs was to facilitate the implementation by Bangladesh of UNSC resolutions relating to terrorism and financing of terrorism, proliferation of WMD, and sanctions against specific Countries, namely the DPRK and Iran.

The National Committee on the Implementation of the United Nations Security Council Resolutions on Combating Terrorism and Financing of Terrorism was formed (paragraph 6 of SRO) to coordinate and monitor effective implementation of the UNSC resolutions.

4. COORDINATION, COMMUNICATION AND MONITORING ARRANGEMENTS

The task of implementing actions to fulfill the country's obligations to effectively implement UNSC resolutions concerning terrorism, financing of terrorism and proliferation of weapons of mass destruction falls to a wide range of ministries and agencies.

The task cannot be achieved by one or two agencies alone. Given the number of government bodies involved in administering the implementation of UNSC resolutions and the related sanctions it has been recognized that cooperation and coordination are essential for success.

There are two committees in Bangladesh, which play a pivotal role in implementation of the UNSC resolutions on terrorism and financing of terrorism adopted by the Security Council pursuant to Chapter VII of the United Nations Charter – the National Coordination Committee on AML/CFT (NCC on AML/CFT) and the National Committee on the Implementation of the United Nations Security Council Resolutions on Combating Terrorism and Financing of Terrorism.

NATIONAL COORDINATION COMMITTEE ON AML/CFT

The NCC on AML/CFT, convened by the Minister of Finance, is the peak body for policy making and implementation for preventing money laundering and terrorist financing. This Committee is charged with making nationally important strategies and policies for preventing money laundering and terrorist financing; providing necessary guidance to all concerned in implementing the policies made; ensuring coordination among different ministries/agencies; and reviewing the position of Bangladesh in complying with international standards for anti money laundering and combating financing of terrorism, and ensuring compliance with the standards.

NATIONAL COMMITTEE

The National Committee on the Implementation of the United Nations Security Council Resolutions on Combating Terrorism and Financing of Terrorism (National Committee) was established by paragraph 6 of SRO No. 398/2012.

This inter-ministerial Committee is chaired by the Foreign Secretary and comprises, at the Deputy Secretary level or above:

- Ministry of Home Affairs (MOHA)
- Bank & Financial Institutions Division, Ministry of Finance (MOF)
- Legislative & Parliamentary Affairs Division, Ministry of Law, Justice and Parliamentary Affairs, (MLJPA)
- Bangladesh Bank
- Director General (UN) as Member Secretary

The National Committee must report on a regular basis on its activities to the NCC on AML/CFT.

The National Committee is required to:

- Develop and issue rules and policy guidelines;
- Develop and issue necessary SROs; and
- Meet every three months to review, monitor and coordinate implementation of the UNSC resolutions.

FOCAL POINTS AND PRIMARY CONTACT POINTS

To facilitate coordination, communication and implementation, Focal Points and Primary Contact Points are established under both the AT Rules 2013 and the SROs.

Focal Points are as follows:

MOFA	Director General (UN)
MOHA	Head of Political Wing or Joint Secretary (Political)
MCAT	Deputy Secretary, Ministry of Civil Aviation and Tourism (MCAT)
MOS	Deputy Secretary, Ministry of Shipping
MOC	Director (WTO Cell), Ministry of Commerce (MOC)
NGOAB	Director General, NGO Affairs Bureau, Prime Minister's Office
MOF	Deputy Secretary (Regulations and Policy), Bank & Financial Institutions Division, Ministry of Finance (MOF)
NBR	First Secretary, Customs Intelligence, National Board of Revenue (NBR)
MLJPA	Deputy Secretary (Printing & Publication), Legislative & Parliamentary Affairs Division, Ministry of Law, Justice and Parliamentary Affairs
BFIU	Operational Head and General Manager, Bangladesh Financial Intelligence Unit (BFIU).

The Focal Points are required to:

- Facilitate and manage the overall implementation of UNSCR 1267, and its successor resolutions, and UNSCR 1373 within their respective ministries and agencies;
- Ensure the operational agencies under their respective ministries take appropriate action to implement the UNSC resolutions through integrating requirements into the day-to-day operations of the agencies;
- Receive updated Sanctions Lists from the Director General (UN), MOFA and communicate those lists to Primary Contact Points in their agencies;
- Communicate matters from the National Committee to the relevant agencies;
- Ensure regular reporting from their ministries and operational agencies in accordance with the reporting requirements provided for in this guideline;
- Update the National Committee on levels of implementation of UNSC resolutions and any challenges in implementing the resolutions;
- Appoint a Primary Contact Point in the implementing and law enforcement authorities under their jurisdiction and prepare a list of names and contact details of Primary Contact Points and ensure the list remains up-to-date;
- Identify any implementing authorities, in addition to those listed below, for appointment of additional Primary Contact Points;
- Provide the list of Primary Contact Points to the MOHA and MOFA;
- Disseminate any updated UNSC Sanctions Lists to Primary Contact Points under their jurisdiction.

A list of the Focal Points along with contact details is attached to the guideline as annexure 1.

Primary Contact Points must be appointed in all relevant implementing and law enforcement and intelligence authorities, including:

Anti-Corruption Commission
Bangladesh Election Commission
Criminal Investigation Division (CID), Bangladesh Police,
Special Branch including airports and land borders, Bangladesh Police,
Rapid Action Battalion (RAB)
Border Guard Bangladesh (BGB)
Coast Guard
Civil Aviation Authority Bangladesh
Department of Shipping
Department of Immigration & Passport
Port Authority
Customs Houses
Customs Intelligence & Investigation Directorate
Bangladesh Financial Intelligence Unit (BFIU)
NGO Affairs Bureau
Registrar of Joint Stock Companies & Firms
National Security Intelligence (NSI)
Directorate General of Forces Intelligence (DGFI)

Note: The Focal Point for MOHA will liaise with NSI, DGFI for nomination of Primary Contact Points in those organizations

Role of Primary Contact Points

It is the responsibility of the Primary Contact Points to:

- Ensure all operational areas are fully aware of their respective roles in implementing UNSC resolutions, including knowledge and understanding of the relevant laws, rules and orders as well as these guidelines;
- Ensure up-to-date copies of the UNSC Sanctions Lists are available and being utilized within the operational areas of their agency;
- Arrange for the incorporation of electronic version of the Sanctions List into the relevant database within their agency, where applicable;
- Inform their Focal Point of any specific cases of identification of persons or entities on the UNSC Sanctions Lists, including any actions taken concerning financial sanctions, travel ban or arms embargo, or other sanctions;
- Inform their respective Focal Point of challenges and impediments to implementation of the UNSC resolutions;
- Provide written reports to their Focal Point in week one of December each year using the format report at annexure 2.

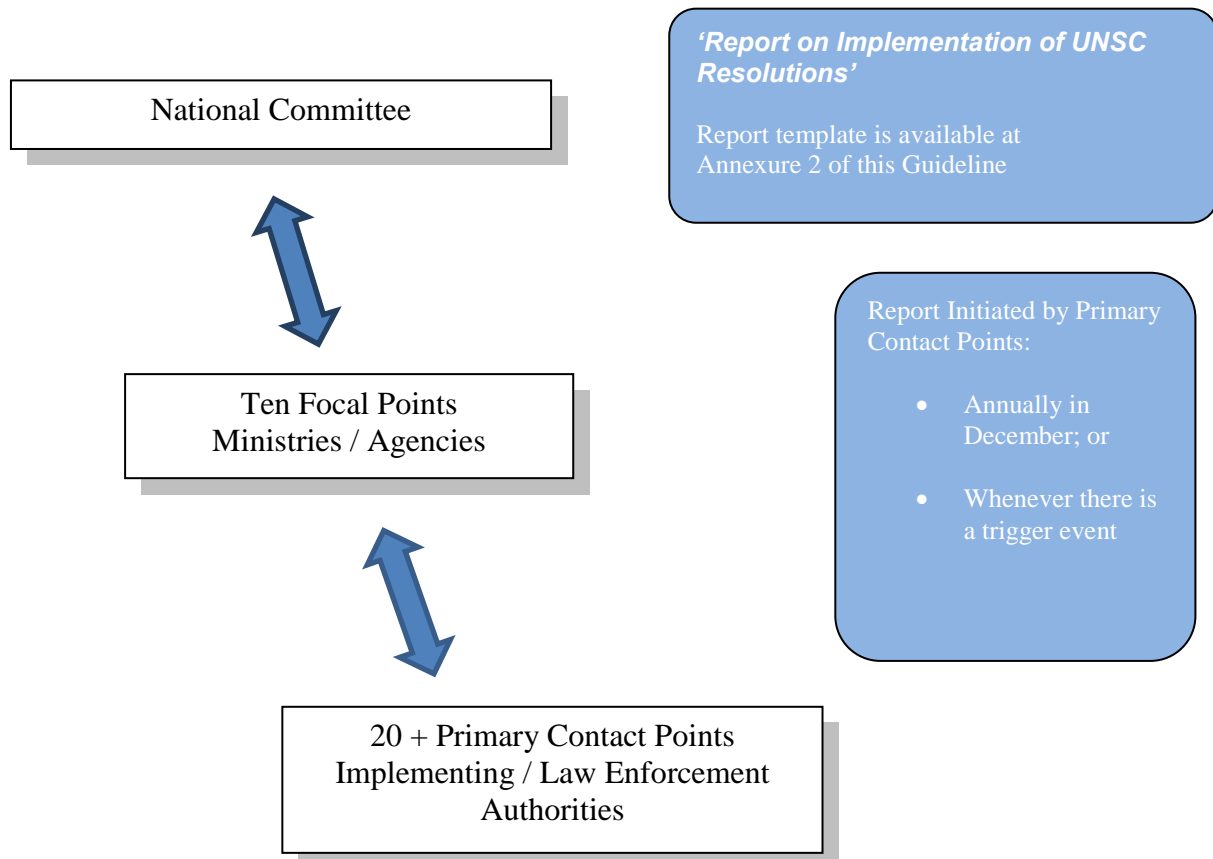
Reporting to the National Committee on Implementation Actions

To be effective in its monitoring role, the National Committee must receive regular reports from all relevant ministries and agencies. The communication channels established under the AT Rules 2013 and SRO No. 188/2013 are also appropriate for reporting and feedback from line agencies to the National Committee concerning asset freezing, people denied entry under travel bans or matters related to arms embargo against designated entities and persons.

Reports, including nil reports, must be given in December each year or whenever there is an event related to a person or entity designated on the Sanctions Lists.

The reporting process is as follows:

- Primary Contact Points in the implementing and law enforcement agencies will make a written report on the form '*Report to National Committee on Implementation & Monitoring UNSC Resolutions*' and submit the report to their Focal Points in December each year;
- Focal Points will consolidate the reports from their respective Primary Contact Points into a single report and provide that report to the National Committee by 31 December each year;
- The National Committee will review the reports and direct the Director General (UN), MOFA to report any appropriate matters to the relevant UNSC Sanctions Committee.



Primary Contact Points at the implementing and law enforcement agencies will make a written report on the form '*Report to National Committee on Implementation of UNSC Resolutions*' (Annexure 2) and submit the report to their Focal Points in December each year.

Dissemination of Sanctions Lists and Successor Resolutions

- Upon any update of the Al-Qaida Sanctions List or the Taliban Sanctions List or other relevant sanctions lists, the Bangladesh Mission to the UN in New York will inform the Director General (UN), MOFA of the update.
- The DG (UN) will, without delay, disseminate a copy of the updated list to the Focal Points, and the revised lists will be distributed to the Primary Contact Points for immediate update of databases and records in the implementing and law enforcement authorities.
- In addition to receiving the updated lists from the Bangladesh Mission to the UN, the DG (UN) will arrange for the Al-Qaida Sanctions List and the Taliban Sanctions List to be checked on the 1267 Committee and 1988 Committee websites each month to determine if the lists have been updated.
- In the case of UNSC resolutions 1267 and 1373, the National Committee is informed of the terms of the resolutions, and has directed relevant ministries and line agencies to implement the provisions for which they are responsible and in accordance with domestic laws and regulations.

Dissemination of Successor Resolutions

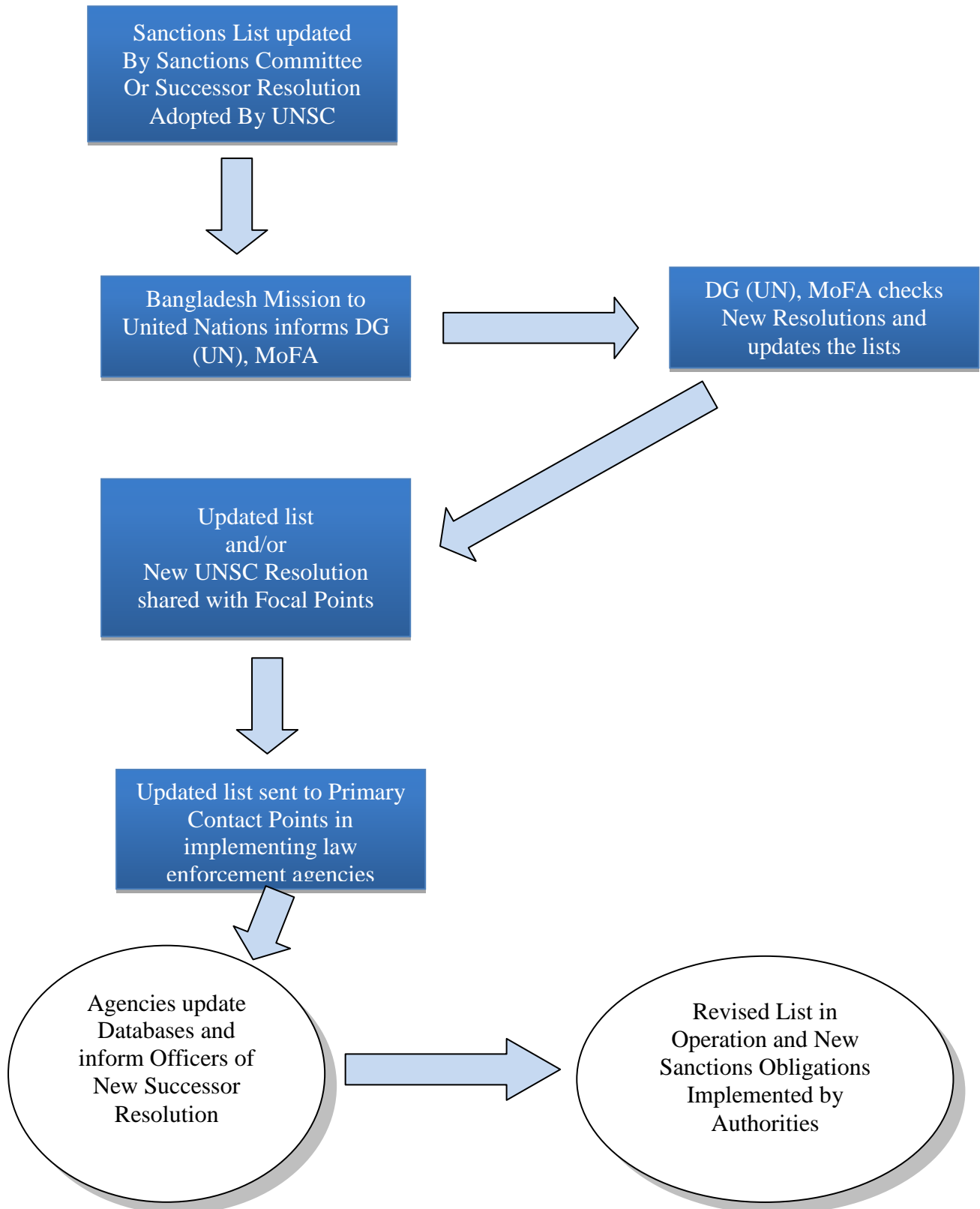
Whenever a new successor resolution to UNSCRs 1267 (1999), 1988 (2011) or 1373 (2001) is issued the communication channels outlined above will be utilised to disseminate relevant information to operational areas.

However, before any successor resolution is disseminated to implementing authorities, the DG (UN), MoFA will arrange for analysis of the operative paragraphs of the resolution to determine if any additional legal authority is required for effective implementation.

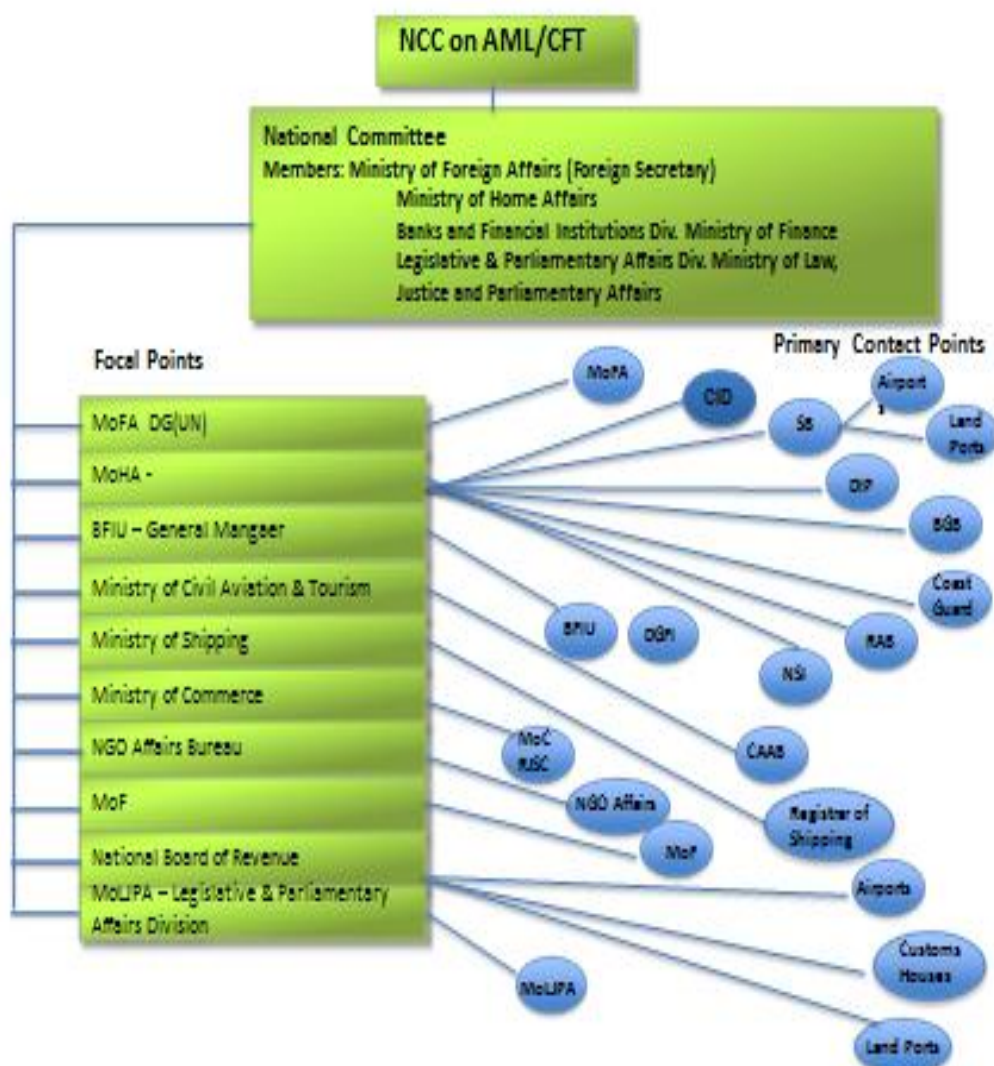
If it is determined no additional legal authority is required for implementation, the DG (UN) will inform the Focal Points of the new obligations and request implementation of the provisions of the UNSC resolution.

If the analysis indicates a need for additional authority through changes to laws, rules or orders the DG (UN) will refer the matter to the National Committee for consideration and decision.

Flowchart for Dissemination of Amended Sanctions Lists and Successor UNSC Resolutions



Flow chart of coordination and reporting channels



5. TARGETED SANCTIONS REGIME AND DESIGNATIONS

A. INTRODUCTION

The key government bodies in implementing the targeted financial sanctions regime are the MOHA, the BFIU, the Bangladesh Police, the NGO Affairs Bureau and the Ministry of Commerce, as the supervisor of a number of DNFBPs in Bangladesh. Central to sanctioning under the Al-Qaida/Taliban sanctions regimes and under UNSC resolution 1373 (2001) on terrorism and financing of terrorism is the designation of persons and entities on the respective UN sanctions lists and domestic sanctions lists.

There are a number of lists that are relevant to the UNSC sanctions to be imposed by Bangladesh. They are:

- a. The Al-Qaida list of designated persons and entities
- b. Taliban list of designated persons and entities
- c. Persons and entities designated for the purposes of 1373 (2001)

B. DESIGNATION OF PERSONS AND ENTITIES

DOMESTIC DESIGNATION

MoHA

The proscription of entities and enlistment of persons is the function of the Proscription and Enlistment Committee, headed by the Senior Secretary of MoHA, and with the head of the Political Wing of MoHA and the head of the Legal Wing of MoHA as members.

When a matter comes to the notice of the Proscription and Enlistment Committee, the Committee will gather all relevant information on the entity or person in order to make a decision, based on reasonable grounds or reasonable basis, as to whether the entity or person is deemed to have been involved in terrorist activities, as follows:

- (a) commits terrorist activities or takes part in such activities;
- (b) takes preparation for terrorist activities;
- (c) assists in or encourages committing terrorist activities;
- (d) supports and abets any organization involved in terrorist activities;
- (e) meets the following listing criteria for enlistment and proscription set out in UNSCR 1373, such as:-
 - (i) any person or entity that commits or attempts to commit terrorist acts, or participates in or facilitates the commission of terrorist acts;
 - (ii) any entity owned or controlled, directly or indirectly, by any enlisted or proscribed person or entity;
 - (iii) any person or entity acting on behalf of, or at the direction of, any enlisted or proscribed person or entity;
- (f) harbours any terrorist person; or
- (g) is involved in terrorist activities in any other ways.

The information gathering process should include collection of all identifying and biographical information, details of funds and assets as well as findings of current investigations or criminal convictions.

The **Proscription and Enlistment Committee** will seek information from **Bangladesh Police, BGB, Coast Guard, NSI, DGFI, BFIU, Bangladesh Election Commission, Customs** and any other open or closed sources, which may provide relevant *information* and *intelligence* to assist the Committee to make a decision as to whether an entity or person should be listed.

Deliberations and decisions taken by the Proscription and Enlistment Committee are to be formally recorded and the record should be available for any review process in the future. The Committee should identify information and intelligence that can be made public and that which should not be disclosed due to the sensitive nature or source of the information.

When a decision is taken to proscribe an entity or list a person, the Proscription and Enlistment Committee must take steps to Gazette the said proscription or listing and to immediately notify the BFIU and the Bangladesh Police, so they can act quickly to enforce any financial sanctions and take steps where applicable.

The Proscription and Enlistment Committee will at the same time inform the National Committee, headed by the Foreign Secretary of the decision to proscribe the entity or enlist the person.

DESIGNATION BY 1267 COMMITTEE (AL-QAIDA) AND 1988 COMMITTEE (TALIBAN)

Al-Qaida Listings

The National Committee is responsible for proposing the designation of persons and entities to the 1267 Committee.

The Proscription and Enlistment Committee should assess if a person or entity being considered for proscription or enlistment meets the requirements for the Al-Qaida sanctions list.

MoHA will prepare a detailed report having regard to AT Rule 10, the 1267 Fact Sheet for Listing (Annexure 3) and section 6 of the 1267 Committee's guidelines (Annexure 4) setting out the procedures for the submission of listing requests and other listing issues.

In preparation for submission to the 1267 Committee, the MoHA should complete, in as much detail as possible:

- A. Consolidated List: Standard Form for Member State Submission to the Committee for Listing of Entities; (Annexure 5) and / or;
- B. Consolidated List: Standard Form for Member State Submission to the Committee for Listing of Individuals. (Annexure 6).

NOTE: Both forms are available as PDF for manual entry or MS Word document for electronic completion. Copies can be accessed on the 1267 Committee website.

Taliban Listings

The National Committee is responsible for proposing the designation of persons and entities to the 1988 Committee.

The Proscription and Enlistment Committee will assess if a person or entity being considered for proscription or enlistment meets the requirements for the Taliban sanctions list.

MoHA will prepare a detailed report having regard to AT Rule 11, section 7 of the 1988 Committee Guidelines concerning listing of individuals, groups, undertakings and entities associated with the Taliban and constituting a threat to the peace, stability and security of Afghanistan. A copy of the 1988 Committee's guidelines is at Annexure 7).

In preparation for submission to the 1988 Committee the MoHA should complete, in as much detail as possible:

- A. Standard Form For Member State submissions to The 1988 Sanctions Committee for Listing Individuals Constituting a threat to the Peace, Stability and Security of Afghanistan; (Annexure 8) and / or;
- B. Standard Form for Member State submissions to the 1988 Sanctions Committee for listing Entities Constituting A Threat to the Peace, Stability And Security Of Afghanistan (Annexure 9).

NOTE: Both forms are available as PDF for manual entry or MS Word document for electronic completion. See 1988 Committee website.

Referral to National Committee

The detailed report and forms prepared by MoHA for Al-Qaida or Taliban listing are to be sent immediately to the DG (UN), MoFA for information and consideration by the National Committee and for consultation with relevant foreign governments before any submission of the names to the respective UNSC Committee.

In the case of proposed Al-Qaida listing, MoFA (UN Wing) should consult with the government or governments of the nationality of and the residence of the named person or the country where the entity has a presence or association.

In the case of a proposed Taliban listing, the MoFA (UN Wing) should consult the Government of Afghanistan on the potential listing and submission to the 1988 Committee.

DECISION ON PROPOSALS TO 1267 COMMITTEE AND 1988 COMMITTEE

The National Committee will take the decision concerning proposal of names to the 1267 Committee and the 1988 Committee. Deliberations and decisions taken by the National Committee are to be formally recorded and the record should be available for any review process in the future. The Committee should identify information and intelligence, in consultation with MoHA, which can be made public, and that which cannot be disclosed due to the sensitive nature or source of the information.

IDENTIFYING TARGETS FOR DESIGNATION

Potential targets for proscription or enlistment may be identified by the MoHA from a variety of sources, including arrest, domestic criminal and money laundering investigations and / or intelligence gathering, through suspicious transaction reports filed by reporting agencies, information from informants, or information provided by foreign law enforcement agencies and FIUs.

Bangladesh Police, NSI, DGFI, BFIU, BGB and the **Coast Guard** must remain proactive in identifying entities and persons that may match the criteria for proscription and enlistment.

When an entity or person suspected of terrorist activities or support for terrorist activities comes to notice of **Bangladesh Police, NSI, DGFI, BFIU, BGB** or the **Coast Guard**, the respective agency must immediately inform the MoHA. The matter is to be placed before the Proscription and Enlistment Committee for a decision as to whether or not the case is one for proscription and enlistment or for further investigation before a decision by the Proscription and Enlistment Committee.

When identifying targets for submissions to the 1267 Committee or the 1988 Committee the MOHA, Bangladesh Police, NSI, DGFI, BFIU, BGB or the Coast Guard must include any individual, group, undertaking, or entity that is “associated with” Al-Qaida or the Taliban, including:

- participating in the financing, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of;
 - supplying, selling or transferring arms and related materiel to;
 - recruiting for; or
- otherwise supporting acts or activities of;
 - Al-Qaida or the Taliban, or any cell, affiliate, splinter group or derivative thereof;

NOTIFICATION TO TARGETS SUBJECT TO DESIGNATION AND REVIEW PROCESSES

DOMESTICALLY PROSCRIBED ENTITIES OR LISTED PERSONS

As soon as possible after proscription of an entity or enlistment of a person by the **Proscription and Enlistment Committee**, the Committee will give the entity or person written notification of the proscription or enlistment. This written notice will include:

- The designation by the Proscription and Enlistment Committee and the implications and consequences of the designation;
- The review procedures and information on the delisting process;
- A contact point within MoHA to address any questions concerning the designation, review and de-listing processes;
- A detailed statement of reason of publicly-releasable information and supporting documentation concerning the reason for designation; and
- Procedures to allow approved access to frozen funds or other assets for basic and extraordinary expenses.

The written notice will inform a proscribed entity that its detailed income and expenditure statement must be submitted within 5 working days and must include all sources of income and funds, financial assets or other economic resources owned or held by the entity or held by a third party on behalf of the entity and all itemized expenditures of the entity including the description of the nature, date and amount of each expenditure.

The Proscription and Enlistment Committee will:

- Analyse the income and expenditure statement of the entity; and
- If it finds on reasonable grounds to believe that the stated income and expenditure is related in any way to an offence under the ATA 2009, the Proscription and Enlistment Committee will disseminate the details to CID for further investigation and legal proceedings;
- Disseminate a copy of the income and expenditure statement to BFIU for further analysis and any additional freezing action of funds held by a reporting agency.

Request for Review

The Proscription and Enlistment Committee will give due consideration to any written request from a domestically proscribed entity or enlisted person for review of the decision to so proscribe or enlist. The written request should contain full details and supporting documents stating the case as to why the decision should be reviewed by the Committee. The Guideline at Annexure 10 should be provided to the individual or entity to assist them to prepare a request for review.

The review of the decision to proscribe or enlist must be finalised within 30 days of receipt of the written submission from the concerned person or entity.

After review of the decision to list the person or entity, the Proscription and Enlistment Committee will inform the person or entity, in writing, of the decision and if the decision is to maintain the proscription or enlistment, the written notice will also inform the person or entity of their further rights of appeal of the decision before the High Court.

ENTITIES OR PERSONS DESIGNATED BY 1267 OR 1988 COMMITTEES

If the National Committee is notified about a person or entity's listing by the Al-Qaida Committee (1267 Committee) or the Taliban Committee (1988 Committee), the National Committee will, inform the person or entity, in writing, of:

- Their listing by the respective UNSC Committee;
- The reasons for listing and give a description of the effects of the listing;
- Procedures for considering delisting requests; and
- The provisions regarding available exemptions, allowing access to frozen funds and assets.

The National Committee will generally receive notification about the designation of a person or entity by the Al-Qaida Committee or the Taliban Committee when the person is a Bangladeshi national, a resident of Bangladesh or visiting Bangladesh, and when the entity is registered in Bangladesh or has some other association with Bangladesh.

C. DELISTING

DOMESTIC DELISTING

The delisting of persons and entities can occur in the following ways:

- Review by the Proscription and Enlistment Committee, MoHA at the request of the listed person or entity;
- Decision of the High Court Division resulting from an appeal by the listed person or entity before the High Court Division;

When a decision is made to delist a person or entity the Proscription and Enlistment Committee will amend the Schedule and publish the amended Schedule in the Gazette.

The Proscription and Enlistment Committee will also inform the National Committee and the Focal Points of the delisting.

DELISTING FOR 1267 (1999) AND 1988 (2011) DESIGNATIONS

1267 DELISTING

Individuals and entities on the 1267 Al-Qaida Sanctions List can challenge their designation by submitting a request to the Office of the Ombudsperson of the Security Council's 1267 Committee. The DG (UN), MoFA is the focal point in the

Government of Bangladesh for communication with the Office of the Ombudsperson. If a listed individual or entity approaches MoFA to request assistance with an application for delisting, MoFA will provide the individual or entity concerned with a copy of the guidance Note at Annexure 12.

1988 DELISTING

Individuals and entities on the 1988 Taliban Sanctions List can submit delisting requests to the focal point established within the Secretariat (Security Council Subsidiary Organs Branch).

The DG (UN) will provide guidance to any person or entity seeking delisting from either the 1267 Sanctions List or the 1988 Sanctions List. The guidance will be in line with the information provided by the Office of the Ombudsperson on its website under the title “*Application for Delisting*” and guidance provided on the website of the UNSC 1988 Committee.

6. TARGETED FINANCIAL SANCTIONS

A. FREEZING AND PROHIBITING DEALING IN FUNDS OR OTHER ASSETS OF DESIGNATED PERSONS AND ENTITIES

Responsibilities of BFIU

BFIU will receive updated Schedule of domestic designations from the MoHA and the updated UNSC sanctions lists from the MoFA.

In accordance with the ATA 2009, AT Rules 2013 and SROs No 398/2012 and 188/2013, the BFIU will:

- Issue detailed guidance to reporting agencies on their obligations to monitor customers and transactions to determine whether individuals or entities listed on the Schedule to the ATA 2009 or listed on the UNSC sanctions lists are holding any funds, financial assets or economic resources or related services or having any form of relationship with them.
- Inform reporting agencies, in case of a match of customer or transaction against a sanctions list, to immediately stop payment and hold funds and to immediately report to the BFIU.
- Collect any appropriate additional information from the reporting agency and other open and closed sources and quickly analyse the information.
- If the reported customer's identity is confirmed to be a designated individual or entity on a sanctions list, immediately inform the reporting agency, in writing, to

maintain the stop on the funds, financial assets or other economic resources or related services. This stop must remain in place until further instruction is given, in writing, by BFIU. There is no time limit on the stop order.

- Forward details of the case to the CID, Bangladesh Police and the MoFA.

BFIU may also receive information from CID concerning properties or other assets owned or held for the benefit of designated individuals or entities. In such cases, an order to freeze the properties or assets will be issued by the BFIU as soon as possible and at the latest within one day of receiving notification from CID.

BFIU will provide a copy of the freeze order to MoFA and to MoHA within a day of the order being issued. In addition to prompt reporting to MOFA and MOHA on a case by case basis, BFIU will submit an annual report to the National Committee using the format at Annexure 2.

Responsibilities of CID, Bangladesh Police and other law enforcement authorities

The Primary Contact Point in each law enforcement authority in Bangladesh will receive the Schedule or updated Schedule of domestic designations and the updated UNSC sanctions lists from the Focal Point at MoHA. The sanctions list will be in electronic format.

The Primary Contact Point in each law enforcement authority will arrange wide distribution of the Schedule and sanctions lists to operational and intelligence areas in each authority.

If a police officer or other law enforcement officer identifies any individual or entity named in the sanctions lists, the matter must be reported to the CID, Bangladesh Police for immediate inquiry.

CID will confirm the identity and the listing of the individual or entity and make further inquiries to identify any funds, financial assets or economic resources, including real property, owned by or held on behalf of the listed person or entity.

CID will immediately inform BFIU of the matter and request BFIU to arrange for enquiries with reporting agencies to determine any additional funds, financial assets or economic resources held by the reporting entities its mandated. If such funds or assets are identified, BFIU will take action as outlined above under responsibilities of BFIU.

CID and BFIU will receive the income and expenditure statement for a proscribed entity and shall include the information in the statement as part of their analysis or inquiry / investigation.

BFIU and CID will coordinate any action to freeze funds, financial assets and other economic resources held by reporting entities or held outside a reporting entity.

B. ASSETS OR ECONOMIC RESOURCES WITH NON-REPORTING AGENCIES

The MOHA will provide the sanctions lists to Bangladesh Police, CID and, in the case of individuals and entities listed in the domestic Schedules, CID will make inquiries to identify and trace any funds, financial assets or other economic resources, including real property owned by or held on behalf of a listed individual or entity.

If funds, financial assets or other economic resources are confirmed as property of listed individual or entity, the CID will report the details of the case to MOHA and MOHA will take action to issue an attachment order within one working day to the concerned Registrar of Properties or other body in control of the funds, financial assets or other economic resources.

MOHA will inform any person or entity, being a non-reporting agency and having control over or custody of funds, financial assets or other economic resources owned by a listed individual or entity, that the funds, financial assets or other economic resources are attached and cannot be moved, altered or dealt with in any way.

MOHA will provide details of the case to BFIU for additional enquires with reporting agencies to determine if there are funds or transactions belonging to the listed individual or entity.

MOFA will develop and implement a communication strategy to make information concerning UNSC sanctions available to the wider business community and the general public.

C. ADDITIONS TO FROZEN ACCOUNTS

BFIU may allow the addition to accounts subject to the assets freeze of the following:

- i. interest or other earnings due on those accounts, or
- ii. payments due under contracts, agreements or obligations that arose prior to the date on which those accounts became subject to the assets freeze, or
- iii. any payment in favour of listed individuals, groups, undertakings or entities, provided that any such interest, other earnings and payments continue to be subject to the assets freeze.

Immediately after approving any addition to an account subject to the assets freeze, the BFIU will notify the relevant reporting agencies, in writing of the decision and the nature of additions, which may be credited to the account.

The written notice should include a clear statement that the accounts remain frozen for all other purposes and no access to funds is permitted unless there is written authorisation for specific exemptions from the assets freeze. See section D below.

D. EXEMPTIONS

Al-Qaida (1267) and Taliban (1988)

The assets freeze provisions do not apply to necessary basic expenses, such as payments for food, rent or mortgage, medicine and medical care, health insurance, taxes and professional fees for maintenance of frozen funds, etc. or for extraordinary expenses approved by the 1267 Committee or the 1988 Committee.

A designated individual or entity may make application for exemption from the freezing provisions under the ATA 2009, AT Rules 2013 and SROs. The application for exemption must contain the following details:

- i. recipient (name and address)
- ii. recipient's permanent reference number on the Al-Qaida or Taliban Sanctions Lists
- iii. recipient's bank information (name and address of bank, account number)
- iv. purpose of payment and justification of the determination of the expenses falling under paragraph 1(a) or under paragraph 1(b) of Resolution 1452 (2002):
 - under paragraph 1(a):
 - basic expenses, including payment for foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges;
 - payment of reasonable professional fees and reimbursement of incurred expenses associated with the provisions of legal services;
 - fees or service charges for routine holding or maintenance of frozen funds or other financial assets or economic resources under paragraph 1(b):
 - extraordinary expenses (other categories than the ones mentioned under paragraph 1 (a)).
- v. amount of installment
- vi. number of installments
- vii. payment starting date
- viii. bank transfer or direct debit
- ix. interests
- x. specific funds being unfrozen
- xi. other information.

The application will be processed in the following manner:

- BFIU will receive the application from the individual or entity directly or through a reporting agency, the MOHA or the National Committee.
- The application will be assessed by BFIU to determine if the exemption, including nature of expenses, amount of instalment and number of instalments is reasonable given all the circumstances.
- BFIU will prepare a report and recommendation for the National Committee.
- In the case of basic expenses, the National Committee will notify the respective UNSC Committee of the intention to grant the exemption from the asset freeze, and in the absence of a negative decision within 72 hours of the notification, will inform the BFIU that the exemption is approved.

- In the case of extraordinary expenses, the National Committee will submit the case, with all necessary details, to the relevant UNSC Committee for approval.
- The National Committee will communicate the Committee decision to the BFIU and other Focal Points.
- BFIU will write to any relevant reporting agency and inform it of the details of the exemption from the asset freeze.

BFIU will monitor transaction granted under the exemption to ensure all dealings are in accordance with the determination of the National Committee.

For additional guidance on the application of exemptions to the assets freeze, refer to Fact Sheet on Exemptions issued by the 1267 Committee at annexure 11 and section 11 of the 1267 Committee's guidelines.

E. FALSE POSITIVES

A 'false positive' is a situation whereby a suspension of a transaction or freeze action is taken on the basis of available information and upon further inquiry and receipt of additional clarifying information, to the effect that such a suspension or freeze action is not correct because the listed individual or entity and the individual or entity whose funds or assets have been frozen are not one and the same.

Any individual or entity which claims their transaction has been suspended or their funds have been frozen due to mistaken identity may apply to BFIU to have the suspension or freeze order lifted.

The burden of proof concerning determination of a question of 'false positive' rests with the applicant. BFIU should require the applicant claiming a case of mistaken identity to submit, in writing, documentary evidence of their identity and a detailed statement as to why they are not the listed individual or entity.

The BFIU shall forward a copy of an application claiming a "false positive" along with results of its inquiries and any analyses to the Focal Point at MOHA to determine the application. The MOHA Focal Point may, if need be, request assistance from CID, Bangladesh Police to gather additional information to assist MOHA to make a final determination regarding an application based on a 'false positive'.

After a final determination regarding a claim of a 'false positive' request, MOFA will convey the decision to the BFIU. The BFIU will notify the applicant and the relevant reporting agency, in writing, of the decision to:

- (i) Maintain the suspension or freeze order because a case of false positive is not accepted; or
- (ii) Remove the suspension or freeze order for the specified person or entity because a false positive situation / case of mistaken identity is confirmed.

If the Focal Point at MOHA is not convinced of the applicants 'false positive' claim, the matter will be referred to the National Committee.

The National Committee will review the application and all relevant documentation and reports to determine if the claim of a case of false positive is confirmed. If the National Committee confirms a false positive, it will inform MOHA and BFIU to remove the suspension or freeze order. BFIU will notify the applicant and the reporting agency of the decision.

Where an individual or entity is named on a UNSC sanctions list and there is an application to unfreeze funds due to a false positive situation, and the National Committee is unable to decide on whether there is a genuine false positive situation, the application shall be referred to the relevant UNSC committee for consideration.

F. UNFREEZING FUNDS AND OTHER ASSETS

The unfreezing of funds, financial assets or other economic resources can occur because a false positive determination is made by the Focal Point at MOHA, the National Committee or by the relevant UNSC committee and the freeze order no longer applies; or the individual or entity is delisted by the Proscription and Enlistment Committee or the relevant UNSC committee.

If an individual is listed both on the Schedule as a domestic designation and on a UNSC sanctions list, a freeze order should be maintained unless the individual or entity is delisted from both the domestic Schedule and the UNSC sanctions list.

When MOHA or the National Committee takes a decision to unfreeze funds they must be satisfied that the funds or other assets, when released, will not be used for terrorist purposes.

The BFIU will inform relevant reporting agencies, in writing, concerning any decision to unfreeze funds.

7. TRAVEL BAN

A. SANCTIONS LISTS TO SPECIAL BRANCH, BANGLADESH POLICE and DEPARTMENT OF IMMIGRATION AND PASSPORTS

The Primary Contact Points in Special Branch, Bangladesh Police and Department of Immigration and Passport will receive the Schedule or updated Schedule of domestic designations and the updated UNSC sanctions lists from the Focal Point at MoHA. The sanctions list will be in electronic format.

Responsibilities of Special Branch, Immigration Police

The Primary Contact Point in Special Branch will arrange for the names on the Schedule and the sanctions lists to be uploaded into the border control immigration database.

Immigration Police Officials will check the identifying information of individuals arriving in and departing from Bangladesh against the sanctions lists.

If there is a positive match for an individual attempting to enter or transit Bangladesh the person will be refused entry or transit and the matter will be reported to the Primary Contact Point at Special Branch Headquarters.

The person will be detained pending removal from Bangladesh.

The Primary Contact Point will report the matter to the Focal Point at MOHA, who will in turn report to the DG (UN), MoFA and the National Committee.

Exemptions from the Travel Ban

There are, in limited circumstances, exemptions from the travel ban. The travel ban imposed under the Al-Qaida/Taliban sanctions regime shall not apply where the 1267 Committee or 1988 Committee determines, on a case by case basis only, that the entry or transit is justified. Approval to travel is required before the travel happens.

Further guidance on exemptions is provided by the 1267 Committee in section 11 of its *Guidelines of the Committee for the Conduct of its Work* and by the 1988 Committee in section 13 of its *Guidelines of the Committee for the Conduct of its Work*.

B. VISA APPLICATIONS LODGED AT CONSULAR OFFICES ABROAD

Responsibilities of Department of Immigration and Passports (DIP).

The Primary Contact Point in DIP will arrange for the names on the Schedule and the sanctions lists to be disseminated to the Consular Offices outside Bangladesh for the purpose of checking names and other identifying details of persons applying for visas.

The DIP will issue clear instructions to Consular Offices not to issue a visa to any person who is designated on the sanctions lists.

Responsibilities of visa issuing officials in DIP or MoFA

Officials in DIP and MoFA who are responsible for issuing visas to persons for travel to Bangladesh will check the identifying information of each applicant against the sanctions lists provided by DIP, Headquarters.

If there is a positive match for an individual applying for a visa to enter Bangladesh the visa should not be issued and the matter should be reported to the Primary Contact Point at DIP Headquarters.

The Primary Contact Point DIP will report the matter to the Focal Point at MOHA, who will then inform the DG (UN), MoFA and the National Committee.

C. LOST AND STOLEN PASSPORTS AND TRAVEL DOCUMENTS AND CONTROL OVER ISSUE OF PASSPORTS AND NATIONAL ID DOCUMENTS

DIP and Election Commission

The DIP, as the authority responsible for the issuing of passports, and the Election Commission, as the authority for issuing National Identification cards, will take measures to ensure integrity of these identification documents.

Both DIP and the Election Commission must ensure inclusion of appropriate security features in the national passport and the national identification document, and implementation of robust systems to control the application for and issuing of such documents, to prevent counterfeiting, forgery or fraudulent use of documents.

In the case of lost, stolen, counterfeit or fraudulent passports or national identification cards, DIP and the Electoral Commission must:

- Take prompt action to ensure the documents are invalidated and removed from circulation.
- Report details of lost, stolen, counterfeit or fraudulent passports or identification cards to Special Branch, Bangladesh Police.

Special Branch, Bangladesh Police

Special Branch should ensure it has standard operational procedures to share information concerning lost, stolen, counterfeit or fraudulent passports or identification cards. Special Branch should:

- Disseminate details of lost, stolen, counterfeit or fraudulent passports or national identification cards to the INTERPOL National Central Bureau at Bangladesh Police for inclusion in the INTERPOL database. This will facilitate the sharing of relevant information with foreign law enforcement and border control authorities.
- Provide basic details of lost, stolen, counterfeit or fraudulent passports or identification cards to the BFIU for the purpose of sharing information with reporting agencies.

D. REPORTING TO FOCAL POINT IN MOHA

If a person listed in the Schedule to the ATA 2009 or the UNSC Sanctions lists is found to be using a false identity or fraudulent travel documents, the DIP or Bangladesh Election Commission must report the matter to Special Branch who will provide the information to the Focal Point at MOHA.

MOHA will report the matter to the National Committee and, in the case of a person listed on the Al-Qaida or Taliban sanctions lists, MoFA will communicate the information to the relevant UNSC Committee.

8. ARMS EMBARGO

A. INTRODUCTION

Paragraph 4 (g) of SRO No. 398 requires measures to be taken to “*prevent any direct or indirect supply, sale or transfer from Bangladesh or by its nationals outside Bangladesh or using Bangladesh flag vessels or aircraft, of arms and related material of all types including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts for the aforementioned and technical advice, assistance, or training related to military activities to the individuals or entities listed in the Schedule.*”

The following ministries and authorities have responsibility to implement aspects of the arms embargo and other elements of the provisions of paragraph 4 (g) of the SRO:

Ministry of Commerce
 Customs Department, National Board of Revenue (NBR)
 Ministry of Shipping
 Ministry of Civil Aviation and Tourism
 Border Guard Bangladesh
 Coast Guard
 Bangladesh Police, Criminal Investigation Department (CID)
 Ministry of Defence

B. ROLE OF FOCAL POINTS

The Focal Point for each of the above ministries and NBR should:

- Disseminate the domestic Schedule to the ATA and the UNSC Sanctions Lists to operational areas in their respective organisations.
- Ensure operational areas are fully aware of the provisions for paragraph 4 (g) of SRO No. 398.
- Implement regular reporting back from operational areas on implementation of the arms embargo provisions.
- Report to the National Committee in December each year or whenever there is a trigger event concerning a listed individual or entity.

C. MINISTRY OF COMMERCE

The Ministry of Commerce must introduce procedures to ensure that any manufacturers, suppliers or traders in Bangladesh of arms and related material of all types including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and related spare parts are:

- Informed of and understand the sanctions provisions under the ATA 2009 and the SRO No. 398/2012.
- Aware of the Sanctions Lists and the website for accessing the named individuals and entities.
- Informed of how and where to report any cases whereby a listed individual or entity attempts to obtain supply of weapons or other goods prohibited by the SRO.

D. CUSTOMS DEPARTMENT

The Customs Department, as part of its process for the registration of importers and exporters, will run the names of the import or export entities and related persons against the names in the Sanctions Lists.

If a name match is detected, the Customs Department will initiate inquiries to confirm the nature of the goods to be imported or exported to ensure that weapons and ammunition and other goods will not be traded in contravention of the UNSC resolutions and SRO 398/2012, as amended.

The Customs Intelligence Cell will also have ready access to the sanctions lists for purpose of checking names of persons or entities that come to notice.

If shipments of arms and related materials are detected by Customs being smuggled into or out of Bangladesh or otherwise entering or leaving Bangladesh, the investigation of such detections should include inquiries to determine if the goods are in any way related to an individual or entity on the sanctions lists. Goods deemed to be covered by the provisions of AT Rule 21 and paragraph 4 (g) of SRO No. 398/2012 should be attached and handed to the Bangladesh Police, CID for inquiry and investigation.

The Customs Department should arrange training for relevant officers to ensure they have the knowledge and skills to implement the SRO.

All law enforcement agencies, such as Police, BGB, RAB, and other relevant Government agencies will inform Customs/Customs Intelligence if they get any information concerning shipment or attempted shipments of arms, explosives or related materials through Customs Port, Land Customs Stations or Airport by individuals or entities on the sanctions lists.

E. MINISTRY OF SHIPPING

SRO No. 398/2012 requires measures to be taken to prevent any direct or indirect supply, sale or transfer from Bangladesh, *or using Bangladesh flag vessels*, of arms and related material of all types to the individuals or entities listed in the sanctions lists.

To ensure Bangladesh flag vessels comply with this requirement, the Department of Shipping will:

- Inform owners and masters of Bangladesh flag vessels of the obligations, under paragraph 4 (g) of SRO No. 398/2012, to prevent the transfer of weapons, to individuals and entities on the sanctions lists.
- Provide owners and masters of Bangladesh flag vessels with a copy of the sanctions list and inform them how to access up-to-date lists in the future via the UNSC website.
- Instruct owners and masters of Bangladesh flag vessels to report to the Department of Shipping if they detect any cases concerning shipment or attempted shipment of arms or related materials by individuals or entities on the sanctions lists.

F. MINISTRY OF CIVIL AVIATION AND TOURISM

SRO No. 398/2012 requires measures to be taken to prevent any direct or indirect supply, sale or transfer from Bangladesh, *or using Bangladesh flag aircraft*, of arms and related material of all types to the individuals or entities listed in the sanctions lists.

To ensure Bangladesh flag aircraft comply with this requirement, the Ministry of Civil Aviation and Tourism/Civil Aviation Authority Bangladesh will:

- Inform owners and operators of Bangladesh flag aircraft of their obligations, under paragraph 4 (g) of SRO No. 398/2012, to prevent the transfer of weapons and related material of all types, to individuals and entities on the sanctions lists.
- Provide owners and operators of Bangladesh flag aircraft with a copy of the sanctions list and inform them how to access up-to-date lists in the future via the UNSC website.
- Instruct owners and operators of Bangladesh flag aircraft to report to the Ministry of Civil Aviation and Tourism/ Civil Aviation Authority Bangladesh if they detect any cases concerning shipment or attempted shipment of arms or related materials by individuals or entities on the sanctions lists.

G. BORDER GUARD BANGLADESH

The Border Guard Bangladesh will have ready access to the sanctions lists, in Headquarters and field offices, for purpose of checking names of persons or entities that come to notice.

If shipments of arms and related materials are detected by BGB as being smuggled into or out of Bangladesh or otherwise entering or leaving Bangladesh, the investigation of such detections should include inquiries to determine if the goods are in any way related to an individual or entity on the sanctions lists. Goods deemed to be covered by the provisions of AT Rule 21 and paragraph 4 (g) of SRO No. 398/2012 should be attached and handed to the Bangladesh Police, CID for inquiry and investigation.

The BGB should arrange training for relevant officers to ensure they have the knowledge and skills to implement the arms embargo in the SRO.

H. COAST GUARD BAGLADESH

The Coast Guard will have ready access to the sanctions lists, in Headquarters and field offices, for purpose of checking names of persons or entities that come to notice.

If shipments of arms and related materials are detected by the Coast Guard being smuggled into or out of Bangladesh or otherwise entering or leaving Bangladesh, the investigation of such detections should include enquiries to determine if the goods are in any way related to an individual or entity on the sanctions lists. Goods deemed to be covered by the provisions of AT Rule 21 and paragraph 4 (g) of SRO No. 398/2012 should be attached and handed to the Bangladesh Police, CID for inquiry and investigation.

The Coast Guard should arrange training for relevant officers to ensure they have the knowledge and skills to implement the arms embargo in the SRO.

I. BANGLADESH POLICE, CID

Bangladesh Police, CID will be informed by Customs Department, BGB, Coast Guards or RAB, when any of these authorities detect arms or related materials being smuggled into or out of Bangladesh or otherwise entering or leaving Bangladesh.

Upon receipt of such information, CID should conduct inquiries / investigations to determine if the goods are in any way related to an individual or entity on the sanctions lists. Goods deemed to be covered by the provisions of AT Rule 21 and paragraph 4 (g) of SRO No. 398/2012 should be attached, if not already attached by another law enforcement authority and the case should be investigated to determine alleged offenders and offences. The matter should be filed for prosecution in the relevant court.

J. MINISTRY OF DEFENCE

The Ministry of Defence should raise awareness among key decision makers in the armed forces concerning the UNSC arms embargo and the provisions of SRO No. 398/2012 preventing any direct or indirect supply, sale or transfer from Bangladesh of arms and related material of all types including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts for the aforementioned and ***technical advice, assistance, or training related to military activities*** to the individuals or entities listed in the sanctions lists.

The Ministry of Defence should have ready access to the sanctions lists, in Headquarters, for purpose of checking names of persons or entities that come to notice.

J. REPORTING TO MOHA & NATIONAL COMMITTEE

When a law enforcement authority detects arms or related materials being smuggled into or out of Bangladesh or otherwise entering or leaving Bangladesh or whenever a case is referred by a law enforcement authority to CID for investigation and possible prosecution, a report must immediately be made to the Focal Point at MOHA, who will report the matter to the National Committee.

9. OTHER SANCTION PROVISIONS

A. SALE, SUPPLY OR TRANSFER OF THE CHEMICAL ACETIC ANHYDRIDE

UNSC resolution 1267 requires Bangladesh to prevent the sale, supply or transfer, by Bangladeshis or from Bangladesh, of the chemical acetic anhydride to any person in the territory of Afghanistan under Taliban control as designated by the Committee or to any person for the purpose of any activity carried on in, or operated from, the territory under Taliban control as designated by the Committee.

The **Ministry of Commerce** should inform licensed chemical manufacturers and distributors of this ban on the sale, supply or transfer, by Bangladeshis or from Bangladesh, of the chemical acetic anhydride to any person in the territory of Afghanistan under Taliban control.

The **Customs Department** should inform all officials monitoring exportation of chemicals of the above prohibition and take steps to identify and investigate export shipments of the chemical acetic anhydride to ensure the shipments do not fall within the provisions of UNSCR 1267.

B. RESTRICTION ON AIRCRAFT LANDING AND TAKE-OFF IN TALIBAN CONTROLLED AREAS

UNSC resolution 1333 (operative paragraph 11) requires Bangladesh to deny any aircraft permission to take off from, land in or over-fly Bangladesh if that aircraft has taken off from, or is destined to land at a place in the territory of Afghanistan designated by the Committee as being under Taliban control, unless the particular flight has been approved in advance by the Committee on the grounds of humanitarian need, including religious obligations such as the performance of the Hajj, or on the grounds that the flight promotes discussion of a peaceful resolution of the conflict in Afghanistan, or is likely to promote Taliban compliance with this resolution or with resolution 1267 (1999).

The Civil Aviation Authority of Bangladesh should make relevant staff aware of this provision and take appropriate action concerning any flights matching the above parameters.

C. INTERNATIONAL COOPERATION

The DG (UN) is the focal point for receiving requests from foreign countries under the provisions of UNSC resolution 1373 (2001). Upon receipt of a request for assistance, the DG (UN), MOFA will inform the National Committee of the nature and scope of the request and forward the request to the appropriate operational authority for action. This will in most cases be the MOHA.

Upon receiving a request for assistance MOHA will:

- Review the request to ensure that, based on reasonable grounds or reasonable basis, the proposed designee is a terrorist, or one who finances terrorism or terrorist organisation;
- If satisfied, forward the request to the BFIU and or Primary Contact Point in relevant law enforcement agencies;
- Receive reports of action carried out by BFIU or law enforcement authorities and report the results to MOFA.

The BFIU or relevant law enforcement authority will take action on the request, including taking freezing actions, in accordance with ATA 2009 and AT Rules 2013.

MOHA and implementing and law enforcement authorities will take steps to provide a requesting country the greatest measure of assistance in connection with criminal investigations or criminal proceedings relating to the financing or support of terrorist acts, including assistance in obtaining evidence in their possession necessary for the proceedings.

Acronyms and Abbreviations

AML	Anti Money Laundering
AT	Anti Terrorism
ATA	Anti Terrorism Act 2009 (as amended in 2012)
BFIU	Bangladesh Financial Intelligence Unit
BGB	Border Guard Bangladesh
CAAB	Civil Aviation Authority Bangladesh
CFT	Combating Financing of Terrorism
CID	Criminal Investigation Department, Bangladesh Police
DGFI	Directorate General of Forces Intelligence
DIP	Department of Immigration & Passport
DPRK	Democratic People's Republic of Korea
DNFBPs	Designated Non-Financial Businesses and Professions
FATF	Financial Action Task Force
IAEA	International Atomic Energy Agency
MOC	Ministry of Commerce
MOCAT	Ministry of Civil Aviation & Tourism
MOF	Ministry of Finance
MOFA	Ministry of Foreign Affairs
MOHA	Ministry of Home Affairs
MOS	Ministry of Shipping
MLJPA	Ministry of Law, Justice and Parliamentary Affairs
NBR	National Board of Revenue
NCC	National Coordination Committee
NGO	Non-Government Organisation
NGOAB	Non-Government Organisation Affairs Bureau
NSI	National Security Intelligence
RAB	Rapid Action Battalion
RJSC	Registrar of Joint Stock Companies
SRO	Statutory Regulatory Order
UN	United Nations
UNSC	United Nations Security Council
UNSCR	United Nations Security Council Resolution
WMD	Weapons of Mass Destruction

Annexure 1

FOCAL POINTS CONTACT LIST – IMPLEMENTATION OF UNSC RESOLUTIONS ON TERRORISM & FINANCING OF TERRORISM

Ministry Agency	Title	Name	Telephone	Email	Responsible for Communication with:
MOHA	Head of Political Wing or Joint Secretary (Political)	Dr Kamal Uddin Ahmed	Off: 9574610 Cell: 01715013243		CID, SB,RAB, BGB, Coast Guard, DIP, NSI, DGFI
MCA&T	Deputy Secretary, MCA&T	Mr Md. A Hamid Zamadder	Off: 9511339 Cell: 01718305556	zamadder@gmail.com	CAAB
MOS	Deputy Secretary (Shipping)	Mr. Nasir Arif Mahmud Joint Secretary	Off:9576771 Cell:01817090270	nasir.a.mahmud@hotmail.com	Registrar of Shipping
NGOAB	Director, NGOAB, Prime Minister's Office	Mr. K M Abdus Salam Director-I	Off: 8562845 Cell: 01711574827		NGOAB
MOC	Director (WTO Cell), MOC				MOC, RJSC
MOF	Deputy Secretary (Regulations and Policy), Bank & Financial Institutions Division, MOF	Mr Md. Rizwanul Huda	Off: 7161558 Cell: 01720039681	rizwanh@finance.gov.bd	MOF
NBR	First Secretary, Customs Policy & Budget, NBR / First Secretary (Customs: International Trade)	Mr Kazi Mostafizur Rahman	Off: Cell: 01971953396	mostafiznbr@yahoo.com	Customs Houses, Customs Intelligence. Airports and Land Ports
MLJPA	Deputy Secretary (Printing & Publication), Legislative & Parliamentary Affairs Division,				MLJPA
BFIU	Operational Head and General Manager, BFIU.	Mr Debaprosad Debnath	Off: 9530118 Cell: 01755534286	deaprosad.debnath@bb.org.bd	BFIU
MOFA	Director General (UN)	Ms. Saida Muna Tasneem			MOFA

REPORT TO NATIONAL COMMITTEE ON IMPLEMENTATION & MONITORING OF UNSC RESOLUTIONS

(through Focal Points in Ministries / Agencies)

FROM: *(name of Ministry / Agency)*

COVERING: *(List all agencies covered by this report)*

DATE:

REPORT AUTHORISED BY: *(name and title of authorising official)*

1. SANCTIONS LISTS

- a. Describe how the Al-Qaida / Taliban sanctions lists have been incorporated within your operational and your administrative structure. (Where a ministry is reporting on behalf of multiple agencies a separate description is required for each agency)

2. POSITIVE MATCHES AGAINST SANCTIONS LISTS

- | | |
|---|-----------------|
| a. Has your agency identified any listed individuals or entities? | YES / NO |
| b. If yes, please provide details and outline the actions that have been taken. | |

3. AWARENESS RAISING & TRAINING

a. What actions has your agency taken, if any, to raise awareness of UNSC Sanctions regime and obligations among the general public?

b. What steps have been taken within your agency to raise awareness among your officials concerning UNSC resolutions 1267 and 1373 and their successor resolutions and the obligations on your agency to implement the provisions in these resolutions?

c. Has any formal training been provided to your officials concerning 1267 & 1373? Give details, if training has been provided.

4. FINANCIAL AND ECONOMIC ASSETS FREEZE

- a. Provide a list of the assets that have been frozen in accordance with UNSC resolutions.

Specific resolution; identification(s) of the person or entities whose assets have been frozen; a description of the nature of the assets frozen (i.e., bank deposits, securities, business assets, precious commodities, works of art, real estate property, and other assets); the value of assets frozen.

5. TRAVEL BAN

- a. Provide an outline of the operational and/or administrative measures, if any, taken to implement the travel ban, in addition to any measures detailed in section 1 above.

- b. Have the names of the listed individuals been included in "alert /"stop list" at border checkpoint? Please briefly outline steps taken and any problems encountered.

- c. Have you stopped any of the listed individuals at any border points or while transiting Bangladesh? If so, please provide additional information, as appropriate.

- d. Details of measures taken to incorporate the Sanctions Lists in the reference database of Consular offices abroad. Have your visa issuing authorities identified any visa applicant whose name is on the list.

- e. Have visa issuing authorities identified any visa applicant whose name is on the Sanctions Lists.

6. ARMS EMBARGO

- a. Describe any checks against Sanctions Lists before Customs Houses approve import or export of consignments of arms and other weapons covered under UNSC sanctions regimes

- b. Have any shipments into or out of Bangladesh been identified as belong to or associated with Listed individuals or entities? If so, give details.

7. GAPS IN IMPLEMENTATION

- a. Please detail any gaps your agency has identified in the legal framework or administrative arrangements for the effective implementation of UNSC resolutions

8. GENERAL COMMENTS

- a. Can you suggest any ways your agency can strengthen its efforts concerning implementation of domestic laws & regulations to implement UNSC resolutions?

- b. Do you have comments regarding the circulation of the Sanctions Lists or concerning the communication and coordination arrangements currently in place for implementation of the UNSC resolutions?

- c. Any other comments?

FACT SHEET ON LISTING – 1267 Committee

This fact sheet provides basic information on the listing procedures of the Al-Qaida Sanctions Committee.

Section 6 of the Committee's guidelines sets out the procedures for the submission of listing requests and other listing issues.

Under these provisions, Member States are encouraged to establish a national mechanism or procedure to identify and assess appropriate candidates to propose to the Committee for listing. A criminal charge or conviction is not necessary for inclusion on the Al-Qaida Sanctions List as the sanctions are intended to be preventive in nature.

In paragraph 10 of resolution 2083 (2012), the Security Council encourages all Member States to submit names for inclusion on the Al-Qaida Sanctions List. Member States have an important role to play in making the Al-Qaida Sanctions List as relevant and effective as possible.

In paragraph 8 of resolution 1904 (2009), the Security Council further encourages Member States to appoint a national contact point concerning entries on the Al-Qaida Sanctions List.

Member States are encouraged to submit names for listing as soon as they gather supporting evidence of association by the individual or entity concerned with Al-Qaida. The Committee will consider proposed listings on the basis of the "associated with" standard described in paragraphs 2 and 3 of resolution 1617 (2005) and as reaffirmed in resolution 2083 (2012).

Before proposing names for addition to the Al-Qaida Sanctions List and if appropriate, Member States are encouraged to approach the State(s) of residence and/or citizenship of the individual or entity concerned to seek additional information. When submitting the names of groups, undertakings and/or entities, Member States are also encouraged, if they deem it appropriate, to propose the names of the individuals responsible for the decisions of the group, undertaking and/or entity concerned for listing at the same time.

In accordance with paragraph 5 of resolution 1735 (2006) and paragraph 11 of resolution 2083 (2012), Member States also need to provide a detailed statement of case in support of the proposed listing. The statement of case should provide as much detail as possible on the basis(es) or justification for the listing, including:

specific findings demonstrating the association or activities alleged;

the nature of the supporting evidence (e.g., intelligence, law enforcement, judicial, media, admissions by subject, etc.);

supporting evidence or documents that can be supplied; and

the details of any connection with a currently listed individual or entity.

The statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used by the Committee for the development of the narrative summary.

In accordance with paragraph 12 of resolution 2083 (2012), Member States are also encouraged, when proposing a new designation, as well as States that have proposed names for inclusion on the Al-Qaida Sanctions List before the adoption of resolution 2083 (2012), to specify whether the Committee may not make known, their status as a designating State.

When proposing names, Member States are requested under paragraph 13 of resolution 2083 (2012) to provide the Committee with as much relevant information as possible on the proposed name, in particular sufficient identifying information to allow for the accurate and positive identification of individuals, groups, undertakings and entities.

In paragraph 13 of resolution 2083 (2012), the Security Council also calls upon Member States to use the new standard form for listing when proposing names for inclusion on the Al-Qaida Sanctions List. States are also encouraged to use the new standard form for listing for the submission of identifying information on all the names on the List. The new standard form for listing replaces the coversheet in Annex I of resolution 1735 (2006).

There are separate standard forms for listing individuals and entities and two versions of these standard forms: one is titled “basic version” which is in PDF format and the other is titled “advanced version” which is in MS Word format. The difference between the 2 versions is that the “basic version” must be completed manually whilst the “advanced version” allows States to choose from drop-down menus for completion electronically. Member States are encouraged to refer to the Explanatory Notes which have been prepared to assist States in completing the new standard forms for listing.

The Committee considers listing requests and reaches its decisions by the consensus of its 15 Members, in accordance with its usual decision-making process as set out in the Committee’s guidelines.

If a proposal for listing is not approved within the Committee’s decision-making period of 10 full working days (with exceptions, as the Chairman shall determine for emergency and time-sensitive listings) as set out in Section 6, paragraph (n) of the Guidelines, the Committee will provide feedback to the submitting State on the status of the request.

Once a listing request has been approved, the Al-Qaida Sanctions List is updated accordingly. More information on this updating process can be found in a separate Fact Sheet.

In accordance with paragraph 17 of resolution 2083 (2012), after publication but within three working days after a name is added to the Al-Qaida Sanctions List, the Secretariat notifies the Permanent Mission of the country or countries where the individual or entity is believed to be located and, in the case of individuals, the country of which the person is a national (to the extent this information is known). The Secretariat includes with this notification a copy of the narrative summary of reasons for listing, a description of the effects of designation, the Committee’s procedures for considering de-listing requests including the possibility of submitting delisting requests to the Office of the Ombudsperson in accordance

with paragraphs 21 of resolution 1989 (2011) and Annex II of resolution 1989 (2011), and the provisions under resolution 1452 (2002) for available exemptions.

Under paragraph 17 of resolution 1822 (2008) which was reaffirmed in paragraph 20 of resolution 1989 (2011), Member States receiving such notification are required to take, in accordance with their domestic laws and practices, all possible measures to notify or inform in a timely manner, the listed individual or entity of the measures imposed on them, any information on reasons for listing available on the Committee's website as well as all the information provided by the Secretariat in the above-mentioned notification.

Under paragraph 18 (b) of Annex II of resolution 2083 (2012), where the address is known, the Office of the Ombudsperson shall also notify individuals or entities about the status of their listing, after the Secretariat has officially notified the Permanent Mission of the State or States, pursuant to paragraph 19 of the resolution.

Also, unless the Committee decides otherwise, any new entry to the Al-Qaida Sanctions List will be transmitted to Interpol to request, where feasible, the issuance of an Interpol-United Nations Security Council Special Notice.

Any Member State requiring further assistance on this issue may contact the Committee's Secretariat through the Committee's e-mail at: SC-1267-Committee@un.org or fax: +1 212 963 1300.

Extract from 1267 Committee Guidelines

6. Listing

- (a) The Committee shall consider including new names based on submissions received from Member States in line with paragraph 10 of resolution 2083 (2012).
- (b) Member States are encouraged to establish a national mechanism or procedure to identify and assess names for inclusion on the Al-Qaida Sanctions List and to appoint a national contact point concerning entries on that list according to national laws and procedures.
- (c) Before a Member State proposes a name for inclusion on the Al-Qaida Sanctions List, it is strongly encouraged, to the extent possible, to approach the State(s) of residence and/or nationality of the individual or entity concerned to seek additional information.
- (d) States are advised to submit names as soon as they gather the supporting evidence of association with Al-Qaida. A criminal charge or conviction is not a prerequisite for listing as the sanctions are intended to be preventive in nature.
- (e) The Committee will consider proposed listings on the basis of the “associated with” standard described in paragraphs 2 and 3 of resolution 2083 (2012).
- (f) When submitting names of groups, undertakings or entities, States are encouraged, if they deem it appropriate, to propose for listing at the same time the names of the individuals responsible for the decisions of the group, undertaking or entity concerned.
- (g) When proposing names for inclusion on the Al-Qaida Sanctions List, Member States should use the standard forms for listing available on the Committee’s website¹ and shall include as much relevant and specific information as possible on a proposed name, in particular sufficient identifying information to allow for the accurate and positive identification of the individual, group, undertaking or entity concerned by competent authorities, and to the extent possible, information required by INTERPOL to issue a Special Notice, including:
 - For individuals: family name/surname, given names, other relevant names, date of birth, place of birth, nationality/citizenship, gender, aliases, employment/occupation, State(s) of residence, passport or travel document and national identification number, current and previous addresses, current status before law enforcement authorities (e.g. wanted, detained, convicted), location;
 - For groups, undertakings or entities: name, registered name, short name(s)/acronyms, and other names by which it is known or was formerly known, address, headquarters, branches/subsidiaries, organizational linkages, parent company, nature of business or activity, State(s) of main activity, leadership/management, registration (incorporation) or other identification number, status (e.g. in liquidation, terminated), website addresses.

¹ <http://www.un.org/sc/committees/1267/listing.shtml>

The Monitoring Team shall be prepared to assist Member States in this regard.

- (h) Member States shall provide a detailed statement of case in support of the proposed listing that forms the basis or justification for the listing in accordance with the relevant resolutions, including paragraph 11 of resolution 2083 (2012). The statement of case should provide as much detail as possible on the basis(es) for listing, including but not limited to: (1) specific information demonstrating that the individual/entity meets the criteria for listing set out in paragraphs 2 and 3 of resolution 2083 (2012); (2) details of any connection with a currently listed individual or entity; (3) information about any other relevant acts or activities of the individual/entity; (4) the nature of the supporting evidence (e.g. intelligence, law enforcement, judicial, open source information, admissions by subject, etc.); (5) additional information or documents supporting the submission as well as information about relevant court cases and proceedings. The statement of case shall be releasable, upon request, except for the parts the designating State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in section 9 below.
- (i) Member States proposing a new designation, as well as Member States that have proposed names for inclusion on the Al-Qaida Sanctions List before the adoption of resolution 2083 (2012), shall specify if the Committee, or the Secretariat on its behalf, or the Ombudsperson may not make known their status as designating State(s).
- (j) Member States that want to be considered co-designating States should inform the Chair in writing when the listing request is submitted and before the listing request is circulated to the members of the Committee for consideration.
- (k) Member States that want to be considered co-sponsors should inform the Committee in writing before the Committee has decided on the listing request.
- (l) Member States who co-sponsored listing requests that were submitted to the Committee before the adoption of resolution 1989 (2011) will continue to be considered designating States, including in the application of paragraphs 26 and 27 of resolution 2083 (2012).
- (m) Co-sponsors of listing requests that were submitted to the Committee after the adoption of resolution 1989 (2011) will not be considered designating states in the application of paragraphs 26 and 27 of resolution 2083 (2012). Co-sponsors will continue to be addressed, as appropriate, in the context of the Committee's reviews of the Al-Qaida Sanctions List, including in the triennial review described in paragraph 42 of resolution 2083 (2012).
- (n) The Committee will consider listing requests within a period of ten full working days, which may be shortened, if requested by a Member State, at the Chair's discretion, for emergency and time-sensitive listings. If a proposal for listing is not approved within the decision-making period, the Committee, or the Secretariat on its behalf, will notify the submitting State on the status of the request. The Secretariat shall inform the Committee Members the same working day of any holds or objections received before 5:30 p.m. Holds or objections received after 5:30 p.m. shall be communicated to the Committee

Members the following working day. If no objection is received by the end of the no-objection period, the decision will be deemed adopted. The Secretariat shall take all the necessary steps to update the Al-Qaida Sanctions List the following day, and inform the State(s) concerned about the Committee's decision.

- (o) Committee Members and the Monitoring Team are called upon to share with the Committee any information available regarding a listing request to help inform the Committee's decision and provide additional material for the narrative summary of reasons for listing.
- (p) Upon request of a Committee Member, listing requests may be placed on the Committee's agenda for more detailed consideration. If deemed necessary, the Committee may request additional background information from the Monitoring Team and/or the designating State(s). Following consideration by the Committee, the Chair shall circulate the listing request under the written decision-making procedure as described in Sections 4 paragraph (b) and section 6 paragraph (n) above.
- (q) On the same day that a name is added to the Al-Qaida Sanctions List, the Committee shall, with the assistance of the Monitoring Team and in coordination with the relevant designating State(s), make accessible on the Committee's website a narrative summary of reasons for listing for the corresponding entry or entries. In addition to the narrative summary, the Secretariat shall, promptly after a name is added to the Al-Qaida Sanctions List, publish on the Committee's website all relevant publicly releasable information, where available.
- (r) In its communication informing Member States of new entries to the Al-Qaida Sanctions List, the Secretariat shall include the narrative summary of reasons for listing.
- (s) Unless the Committee decides otherwise, the Secretariat shall request INTERPOL to issue, where feasible, an INTERPOL-United Nations Security Council Special Notice for each name added to the list.
- (t) As soon as a name is added to the Al-Qaida Sanctions List, the Committee shall request the Secretariat to communicate the decision in writing to the Permanent Mission of the country or countries where the individual or entity is believed to be located and, in the case of individuals, the country of which the person is a national (to the extent this information is known).
- (u) The Secretariat shall include with this communication a copy of the narrative summary of reasons for listing, a description of the effects of designation, as set forth in the relevant resolutions, the Committee's procedures for considering delisting requests, including the possibility of submitting delisting requests to the Office of the Ombudsperson in accordance with paragraphs 19 and annex II of resolution 2083 (2012), and the provisions for available exemptions.
- (v) The letter shall remind States receiving such notification that they are required to take, in accordance with their domestic laws and practices, all possible measures to notify or inform in a timely manner the newly listed individuals and entities on the Al-Qaida

Sanctions List of the measures imposed on them, any information on reasons for listing available on the Committee's website as well as all the information provided by the Secretariat in the abovementioned communication.

- (w) In addition, in its communication, the Secretariat shall invite States to provide in accordance with national law details on measures taken to implement the sanctions.
- (x) Where the address is known, and after the Secretariat has officially notified the Permanent Mission of the State(s) concerned, the Ombudsperson shall notify individuals or entities about the status of their listing. The Ombudsperson shall include all additional information as described in paragraph (u) above.

CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE COMMITTEE FOR LISTING OF ENTITIES

(Basic version)

For additional information on listing and explanatory notes on completing this form see:
www.un.org/sc/committees/1267/listing.shtml

IA - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the entity concerned.

Full Name (in Latin alphabet, as to appear on the List)	
Spelling variations or other transliterations if used in official documents	
Original script (as to appear on the List)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
Other script(s) (if used officially)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
Short name / acronym(s)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

To add more aliases / AKAs / FKAs, fill in an additional sheet (annex A)

Registration and other identification numbers	Number	
	Type	Business registration number <input type="checkbox"/> Tax identification number <input type="checkbox"/> Employer number <input type="checkbox"/> Social security number <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Issuing authority	
	Other numbers (indicate details as above)	
Registered Address	Current	
	Previous, if any	
Date of establishment (DD/MM/YYYY) Additional information		Day Month Year
Place of establishment		
State(s) of main activity		
Address(es) in state(s) of main activity		
Please state any specific limitations relevant to the sanctions implementation (see explanatory notes)		

I.B - OTHER IDENTIFYING INFORMATION THAT MAY ALSO APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the following information in order to facilitate the identification of the entity concerned.

Type of entity		Registered company / business <input type="checkbox"/> Unregistered company / business <input type="checkbox"/> Registered non-profit organization <input type="checkbox"/> Unregistered non-profit organization <input type="checkbox"/> Registered group or affiliation <input type="checkbox"/> Unregistered group or affiliation <input type="checkbox"/> Illegal paramilitary / armed group <input type="checkbox"/> Criminal group <input type="checkbox"/> Other <input type="checkbox"/> Which:
Nature of business or activity		Criminal activity <input type="checkbox"/> Charity / humanitarian assistance <input type="checkbox"/> Banking <input type="checkbox"/> Trust / financing <input type="checkbox"/> Informal / alternative remittance system <input type="checkbox"/> Money wire / exchange <input type="checkbox"/> Political activity <input type="checkbox"/> Internet / telecommunications business <input type="checkbox"/> Paramilitary activity <input type="checkbox"/> Legal estate <input type="checkbox"/> Media activity – TV/radio/press/Internet <input type="checkbox"/> Training / recruitment <input type="checkbox"/> Other <input type="checkbox"/> Which:
Location	Current	
	Previous (add dates)	
Branches / subsidiaries (use annex B to report details)		
Parent company (use annex B to report details)		
Leadership and management (use annex C to report details)		
Organizational linkages		
Known assets / location of assets / patterns of provision		
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)		

Status	In liquidation / suspended / terminated / operating license withdrawn	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Operating under caretaker or equivalent	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Banned/illegal/clandestine	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Other	Please explain:
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:

I.C – OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE

--

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the entity inscribed in section I.A of this form and Al-Qaida, Usama bin Laden and/or the Taliban as set out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the entity is associated with that already appear on the Consolidated List. In the event of the designation of this entity by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).

☐ (a) participating in the financing¹, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usama bin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

• Name(s) and permanent reference number(s) on Consolidated List (if applicable):

☐ (b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

• Name(s) and permanent reference number(s) on Consolidated List (if applicable):

☐ (c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

• Name(s) and permanent reference number(s) on Consolidated List (if applicable):

☐ (d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

• Name and permanent reference number(s) on Consolidated List (if applicable):

☐ (e) other acts and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

• Name and permanent reference number(s) on Consolidated List (if applicable):

• Briefly state the nature of the association:

☐ (f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List.²

• Name(s) and permanent reference number(s) on Consolidated List (if applicable):

¹ The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs originating particularly in Afghanistan, and their precursors (resolution 1904 (2009), para. 9).

² Resolution 1617 (2005), para. 2.

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the entity meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the entity proposed for listing and any currently listed individual or entity.³

In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specify whether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.

☐ Yes ☐ No

V. INTERPOL COOPERATION

The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularly the freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL-United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** of the above-mentioned entity(ies) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries).

☐ Yes ☐ No **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevant inquiries).

☐ Yes ☐ No **Comments:**

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:

(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number:

Fax number:

E-mail address:

³ Resolution 1735 (2006), para. 5.

ANNEX A – Additional aliases and pseudonyms

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Furthermore, information in this annex is considered as additional identifiers only and it should not refer to other separate entities (see annex B for related entities).

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Type	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Also-known-as <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Additional information (dates)	

ANNEX B – Information about related entities

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Entities referred to in annex B that are not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form should be filled in for each entity to be subject to sanctions.

RELATED ENTITY (describe type of relation)		Shared ownership <input type="checkbox"/> Subsidiary <input type="checkbox"/> Parent company <input type="checkbox"/> Shared management <input type="checkbox"/> Successor company / organization <input type="checkbox"/> Participation in regional / special network <input type="checkbox"/> Predecessor company / organization <input type="checkbox"/> Other <input type="checkbox"/> Which:
Permanent reference number (if listed)		
Full Name		
Spelling variations or other transliterations if used in official documents		
Original script (as to appear on the List)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:	
Other script(s) (if used officially)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:	
Aliases / also-known-as / formerly-known-as		
Short Name/Acronym(s)		
Registration and other identification numbers	Number	
	Type	Business registration number <input type="checkbox"/> Tax identification number <input type="checkbox"/> Employer number <input type="checkbox"/> Social security number <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Issuing authority	
	Other numbers (indicate details as above)	
Registered Address	Current	
	Previous, if any	
Date of establishment (DD/MM/YYYY) Additional information		Day Month Year
Place of establishment		
Nature of Business or Activity		Criminal activity <input type="checkbox"/> Charity / humanitarian assistance <input type="checkbox"/> Banking <input type="checkbox"/> Trust / financing <input type="checkbox"/> Informal / alternative remittance system <input type="checkbox"/> Political activity <input type="checkbox"/> Internet / telecommunications business <input type="checkbox"/> Legal estate <input type="checkbox"/> Media activity – TV/radio/press/Internet <input type="checkbox"/> Paramilitary activity <input type="checkbox"/> Training / recruitment <input type="checkbox"/> Money wire / exchange <input type="checkbox"/> Other <input type="checkbox"/> Which:
Type of Entity		Registered company / business <input type="checkbox"/> Unregistered company / business <input type="checkbox"/> Registered non-profit organization <input type="checkbox"/> Unregistered non-profit organization <input type="checkbox"/> Registered group or affiliation <input type="checkbox"/> Unregistered group or affiliation <input type="checkbox"/> Illegal paramilitary / armed group <input type="checkbox"/> Criminal group <input type="checkbox"/> Other <input type="checkbox"/> Which:
State(s) of main activity		
Address(es) in state(s) of main activity		
Other supplementary information		

ANNEX C – Information about leadership and management

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Individuals referred to in annex C that are not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form (for individuals) should be filled in for each individual to be subject to sanctions.

Manager, member of the leadership or other affiliate of the entity

Full name (in Latin alphabet, as to appear on the List)														
Name components (Provide 1 – 8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)		Describe name component											Other, please describe in writing	
		First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Name of:						
								Father	Grandfather	Great-grandfather	Mother	Tribe		
1.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Spelling variations or other transliterations if used in official documents														
Original script (as to appear on the List)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:													
Other script(s) (if used officially)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:													
Date of birth	(DD/MM/YYYY) Additional information		Day:		Month:		Year:							
Place of birth (city/area/country)														
Alternative dates or places of birth (please explain)														
Male / Female														
Nationality or citizenship(s)	Current													
	Previous (add dates)													
State(s) of residence	Current													
	Previous (add dates)													
Position or role in the entity	Supreme leader <input type="checkbox"/> Top management <input type="checkbox"/> Mid-management <input type="checkbox"/> Lower management <input type="checkbox"/> Sub-leader <input type="checkbox"/> Operative <input type="checkbox"/> Chairman <input type="checkbox"/> Deputy chairman / leader <input type="checkbox"/> Sole owner <input type="checkbox"/> Partner <input type="checkbox"/> Majority owner <input type="checkbox"/> Part owner <input type="checkbox"/> Employee <input type="checkbox"/> Adviser <input type="checkbox"/> Trainer <input type="checkbox"/> Financier <input type="checkbox"/> Beneficiary <input type="checkbox"/> Facilitator <input type="checkbox"/> Recruiter <input type="checkbox"/> Accountant <input type="checkbox"/> Technical specialist <input type="checkbox"/> Other <input type="checkbox"/> Which:													
Any additional information (background on alias)														

CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE COMMITTEE FOR LISTING OF INDIVIDUALS

(Basic version)

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1267/listing.shtml

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the individual concerned.

Full name (in Latin alphabet, as to appear on the List)													
Name components (Provide 1 – 8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)		Describe name component											
		Name of:											Other, please describe in writing
		First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Father	Grandfather	Great-grandfather	Mother	Tribe	
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Spelling variations or other transliterations if used in official documents													
Original script (as to appear on the List)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:												
Other script(s) (if used officially)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:												
Date of birth	(DD/MM/YYYY) Additional information		Day:		Month:		Year:						
Place of birth (city/area/country)													
Alternative dates or places of birth (please explain)													
Male / Female													
Nationality or citizenship(s)	Current												
	Previous (add dates)												
State(s) of residence	Current												
	Previous (add dates)												

Passport(s), other travel documents and national identification documents	Document type (see explanatory notes)	Passport <input type="checkbox"/> Driver license <input type="checkbox"/> National identity card <input type="checkbox"/> Birth certificate <input type="checkbox"/> Social security card <input type="checkbox"/> Other <input type="checkbox"/> Which:		
	Document number			
	Name issued to (in original script)	Name: Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:		
	Issued by (authority, city, country)			
	Issue date	Day:	Month:	Year:
	Expiry date	Day:	Month:	Year:
	Additional information			

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible.

Aliases/ Also-Known-As	If the individual has aliases/also-known-as names, both current and formerly used, please fill in annex B. Provide this information only if the data is sufficient to allow for the accurate and positive identification of the individual concerned; otherwise include this information in section I.B of this form, in fields designated for nicknames and other pseudonyms <u>not</u> sufficient for accurate and positive identification. For definitions please see explanatory notes.
-------------------------------	---

I.B - ADDITIONAL IDENTIFYING INFORMATION THAT MAY ALSO APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the following information in order to facilitate the identification of the individual concerned.

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification.)	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> which:
	Details (in Latin script)	
	Additional information about this pseudonym	

To add more pseudonyms fill in an additional sheet (annex C)

Title(s)		
Employment / Occupation (please provide dates and nature of employment, in particular regarding positions held in listed entities)		
Marital status		
Address	Primary address	
	Other addresses (current/previous)	
Location (if different from address)	Current	
	Alternative	
Status	Wanted / Subject to arrest warrant	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Detained (please provide date of likely release)	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Convicted / Sentenced (please provide details of sentence)	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Others (please see explanatory notes)	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
Other supplementary information		

Names of parents	Father's name	
	Mother's name	

Residency permits or visas of individual, or similar official documents	Document type	Residency permit <input type="checkbox"/> Work permit <input type="checkbox"/> Visa <input type="checkbox"/> Alien registration card <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Other identity documents	Document type	
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Official identity number	Type	Social security number <input type="checkbox"/> Alien registration number <input type="checkbox"/> Customer ID number <input type="checkbox"/> National ID number <input type="checkbox"/> Employee number <input type="checkbox"/> Tax ID <input type="checkbox"/> Other <input type="checkbox"/> which:
	Number	
	Issued by (authority, city, country)	
	Other details	
	Other numbers (indicate type, number and issuer as above)	

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible.

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)			
Height (cm)		Eye color	
Weight (kg)		Hair color	
Build		Complexion	
Tribal / ethnic background			
Photograph, sketch, computer image attached?		Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, type(s):	
Other biometric identifiers attached? For example fingerprints, DNA code, iris scan or digital facial image		Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, type(s):	
Distinguishing marks and other physical characteristics			
Languages spoken			
Additional physical characteristics			

I.D – OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE

II. BASIS FOR LISTING
Member States are requested to indicate in one or more of the fields below the nature of the association between the individual inscribed in section I.A of this form and Al-Qaida, Usama bin Laden and/or the Taliban as set out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the individual is associated with that already appear on the Consolidated List. In the event of the designation of this individual by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).
<input type="checkbox"/> (a) participating in the financing ¹ , planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usama bin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof. ² • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
<input type="checkbox"/> (b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof. ² • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
<input type="checkbox"/> (c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof. ² • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
<input type="checkbox"/> (d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof. ² • Name and permanent reference number(s) on Consolidated List (if applicable):
<input type="checkbox"/> (e) other acts and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof. ² • Name and permanent reference number(s) on Consolidated List (if applicable): • Briefly state the nature of the association:
<input type="checkbox"/> (f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List. ² • Name(s) and permanent reference number(s) on Consolidated List (if applicable):

¹ The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs originating particularly in Afghanistan, and their precursors (resolution 1904 (2009), para. 9).

² Resolution 1617 (2005), para. 2.

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, media, and admissions by subject; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.³

In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specify whether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.

☐ Yes

☐ No

V. INTERPOL COOPERATION

The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularly the freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL-United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** of the above-mentioned individual(s) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries).

☐ Yes

☐ No

Comments:

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevant inquiries).

☐ Yes

☐ No

Comments:

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number:

Fax number:

E-mail address:

³ Resolution 1735 (2006), para. 5.

ANNEX A – Additional identification documents

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Passport(s), other travel documents and national identification documents	Document type (see explanatory notes)	Passport <input type="checkbox"/> Driver license <input type="checkbox"/> National identity card <input type="checkbox"/> Social security card <input type="checkbox"/> Birth certificate <input type="checkbox"/> Other <input type="checkbox"/> Which:	
	Document number		
	Name issued to (in original script)	Name: Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:	
	Issued by (authority, city, country)		
	Issue date	Day: Month: Year:	
	Expiry date	Day: Month: Year:	
Additional information			

Passport(s), other travel documents and national identification documents	Document type (see explanatory notes)	Passport <input type="checkbox"/> Driver license <input type="checkbox"/> National identity card <input type="checkbox"/> Social security card <input type="checkbox"/> Birth certificate <input type="checkbox"/> Other <input type="checkbox"/> Which:	
	Document number		
	Name issued to (in original script)	Name: Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:	
	Issued by (authority, city, country)		
	Issue date	Day: Month: Year:	
	Expiry date	Day: Month: Year:	
Additional information			

Residency permits or visas of individual, or other identity documents	Document type	Residency permit <input type="checkbox"/> Work permit <input type="checkbox"/> Visa <input type="checkbox"/> Alien registration card <input type="checkbox"/> Other <input type="checkbox"/> Which:	
	Document number		
	Issued by (authority, city, country)		
	Issue date	Day: Month: Year:	
	Expiry date	Day: Month: Year:	
	Additional info		

Other identity documents	Document type		
	Document number		
	Issued by (authority, city, country)		
	Issue date	Day: Month: Year:	
	Expiry date	Day: Month: Year:	
Additional info			

Official identity number	Type	Social security number <input type="checkbox"/> Alien registration number <input type="checkbox"/> Customer ID number <input type="checkbox"/> National ID number <input type="checkbox"/> Employee number <input type="checkbox"/> Tax ID <input type="checkbox"/> Other <input type="checkbox"/> which:	
	Number		
	Issued by (authority, city, country)		
	Other details		

Other numbers (indicate type, number and issuer as above)	
---	--

ANNEX B – Aliases and Also-Known-As

Include only if data is sufficient to allow for the accurate and positive identification of the individual concerned, otherwise include as nickname, diminutive or other pseudonym in section I.B. of the standard form. Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Full name (in Latin alphabet, as to appear on the List)														
Name components (Provide 1 – 8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)		Describe name component												
		Name of:												
		First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Father	Grandfather	Great-grandfather	Mother	Tribe		
1.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Other, please describe in writing
2.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
4.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
7.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
8.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Spelling variations or other transliterations if used in official documents														
Original script (as to appear on the List)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:													
Other script(s) (if used officially)	Indicate script: Arabic <input type="checkbox"/> Chinese <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Russian <input type="checkbox"/> Urdu <input type="checkbox"/> Other <input type="checkbox"/> Which:													
Date of birth	(DD/MM/YYYY) Additional information	Day: Month: Year:												
Place of birth (city/area/country)														
Alternative dates or places of birth (please explain)														
Nationality or citizenship(s)	Current													
	Previous (add dates)													
State(s) of residence	Current													
	Previous (add dates)													
Any additional information (background on alias)														

ANNEX C – ADDITIONAL NICKNAMES, DIMINUTIVES OR PSEUDONYMS

Include **only** if data is **not** sufficient for accurate and positive identification of the individual concerned

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms <small>(Not legal names, not sufficient for positive identification)</small>	Type	Nom de guerre <input type="checkbox"/> Nickname <input type="checkbox"/> Adopted name <input type="checkbox"/> Other <input type="checkbox"/> Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Extract from 1988 Committee Guidelines --- Listing Taliban Individuals and Entities

7. Listing

(a) The Committee will consider designations of individuals, groups, undertakings, and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan on the basis of the criteria contained in paragraphs 2 and 3 of resolution 2082 (2012).

(b) The Committee shall consider including new names based on submissions received from Member States in line with paragraph 12 of resolution 2082 (2012).

(c) When considering the proposal of a new designation, Member States are strongly urged to consult with the Government of Afghanistan on the designation prior to submission to the Committee through the national contact point identified by the Government of Afghanistan as described in section 5 paragraph (d).

(d) When considering the proposal of a new designation, Member States are also encouraged to seek advice from UNAMA, where appropriate, and may seek advice from the Monitoring Team as well.

(e) Before a Member State proposes a name for inclusion on the 1988 Sanctions List, it is encouraged, to the extent possible, to approach the State(s) of residence and/or nationality of the individual or entity concerned to seek additional information.

(f) States are advised to submit names as soon as they gather the supporting evidence for designations of individuals/entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.

(g) When submitting names of groups, undertakings and/or entities, States are encouraged, if they deem it appropriate, to propose for listing at the same time the names of the individuals responsible for the decisions of the group, undertaking and/or entity concerned.

(h) When proposing names for inclusion on the 1988 Sanctions List, Member States should use the standard forms for listing available on the Committee's website, provide information on any consultations with the Government of Afghanistan and shall include as much relevant and specific information as possible on a proposed name, in particular sufficient identifying information to allow for the accurate and positive identification of the individual, group, undertaking or entity concerned by competent authorities, and to the extent possible, information required by INTERPOL to issue a Special Notice, including:

- For individuals: family name/surname, given names, other relevant names, date of birth, place of birth, nationality/citizenship, gender, aliases, employment/occupation, State(s) of residence, passport or travel document and national identification number, current and previous addresses, current status before law enforcement authorities (e.g. wanted, detained, convicted), location.

- For groups, undertakings or entities: name, registered name, short name(s)/acronyms, and other names by which it is known or was formerly known, address, headquarters, branches/subsidiaries, organizational linkages, parent company, nature of business or activity, State(s) of main activity, leadership/management, registration (incorporation) or other identification number, status (e.g. in liquidation, terminated), website addresses.

The Monitoring Team shall be prepared to assist Members States in this regard. It shall report to the Committee on further steps that could be taken to improve identifying information, as well as steps to ensure that INTERPOL-UN Special Notices exist for all listed individuals, groups, undertakings, and entities.

(i) Member States shall provide a detailed statement of case in support of the proposed listing that forms the basis or justification for the listing in accordance with the relevant resolutions. The statement of case should provide as much detail as possible on the basis(es) for listing, including but not limited to: (1) specific information demonstrating that the individual/entity meets the criteria for listing set out in paragraphs 2 and 3 of resolution 2082 (2012); (2) details of any connection with a currently listed individual or entity; (3) information about any other relevant acts or activities of the individual/entity; (4) the nature of the supporting evidence (e.g. intelligence, law enforcement, judicial, open source information, admissions by subject, etc.); (5) additional information or documents supporting the submission as well as information about relevant court cases and proceedings. The statement of case shall be releasable, upon request, except for the parts the designating State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in section 10 below.

(j) Member States proposing a new designation, as well as Member States that have prior to the adoption of resolution 1988 (2011) proposed names for inclusion in sections A ("individuals associated with the Taliban") and section B ("entities and other groups and undertakings associated with the Taliban") of the Consolidated List previously maintained by the Security Council Committee established pursuant to resolution 1267 (1999) concerning Al-Qaida and the Taliban and associated individuals and entities, should specify whether the Committee, or the Secretariat on its behalf, may make known, upon request from another Member State, their status as designating State(s).

(k) Member States may co-sponsor a new designation as long as the listing request is within the Committee's decision making period. These States will also be considered designating States and should also specify whether the Committee may make known, upon request from another Member State, their status as designating State(s).

(l) The Committee will consider listing requests within a period of ten full working days, which may be shortened, if requested by a member State, at the Chair's discretion, for emergency and time-sensitive listings. If a proposal for listing is not approved within the decision-making period, the Committee, or the Secretariat on its behalf, will notify the submitting State on the status of the request. The Secretariat shall inform the Committee Members the same working day of holds or objections received before 5:30 p.m.. Holds or objections received after 5:30 p.m. shall be communicated to the Committee Members the following working day. If no objection is received by the end of the no-objection period, the decision will be deemed

adopted. The Secretariat shall take all the necessary steps to update the 1988 Sanctions List the following day and inform the State(s) concerned about the Committee's decision.

(m) Committee Members and the Monitoring Team are called upon to share with the Committee any information available regarding a listing request to help inform the Committee's decision and provide additional material for the narrative summary of reasons for listing.

(n) Upon request of a Committee Member, listing requests may be placed on the Committee's agenda for more detailed consideration. If deemed necessary, the Committee may request additional background information from the Monitoring Team and/or the designating State(s). Following consideration by the Committee, the Chair shall circulate the listing request under the written decision-making procedure as described in section 4 paragraph (b) and section 7 paragraph (l).

(o) Where appropriate, the Committee will decide to invite a representative of the Government of Afghanistan to appear before the Committee to discuss the merits of listing certain individuals groups, undertakings and entities, including when a listing request by the Government of Afghanistan had been put on hold or rejected by the Committee.

(p) On the same day that a name is added to the 1988 Sanctions List, the Committee shall, with the assistance of the Monitoring Team and in coordination with the relevant designating State(s), make accessible on the Committee's website a narrative summary of reasons for listing for the corresponding entry or entries. In addition to the narrative summary, the Secretariat shall, promptly after a name is added to the 1988 Sanctions List, publish on the Committee's website all relevant publicly releasable information, where available.

(q) In its communication informing Member States of new entries to the 1988 Sanctions List, the Secretariat shall include the narrative summary of reasons for listing.

(r) Unless the Committee decides otherwise, the Secretariat shall request INTERPOL to issue, where feasible, an INTERPOL-United Nations Security Council Special Notice for each name added to the list.

(s) As soon as a name is added to the 1988 Sanctions List, the Committee requests the Secretariat to communicate the decision in writing to the Government of Afghanistan, through the national contact point and the Permanent Mission of Afghanistan to the United Nations; and the Permanent Mission of the State(s) in which the individual or entity is believed to be located; and, in the case of individuals, the State(s) of which the person is believed to be a national (to the extent this information is known).

(t) The Secretariat shall include with this communication a copy of the narrative summary of reasons for listing, a description of the effects of designation, as set forth in the relevant resolutions, the Committee's procedures for considering delisting requests, including the possibility of submitting delisting requests to the Focal Point mechanism established in resolution 1730 (2006), and the provisions for available exemptions.

(u) The letter shall remind States receiving such notification of listings that they should take, in accordance with their domestic laws and practices, all possible measures to notify or inform in a timely manner the newly listed individuals and entities on the 1988 Sanctions List

of the measures imposed on them, any information on reasons for listing available on the Committee's website as well as all the information provided by the Secretariat in the above-mentioned communication.

(v) In addition, in its communication, the Secretariat shall invite States to provide in accordance with national law details on measures taken to implement the sanctions.

**STANDARD FORM FOR MEMBER STATE SUBMISSIONS
TO THE 1988 SANCTIONS COMMITTEE FOR LISTING INDIVIDUALS
CONSTITUTING A THREAT TO THE PEACE, STABILITY AND SECURITY OF AFGHANISTAN**

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1988/index.shtml

or contact the Analytical Support and Sanctions Monitoring Team at 1988mt@un.org

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST

Member States are requested to provide the Committee with as much information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the individual concerned.

Full name (in Latin script)											
Name components (Please describe each part of the name)		Description of name component									
		First name	Name of:				Takhallus (adjective)	Geographical	Middle name	Last name	Religious title
Father	Grandfather		Tribe								
1.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Script status	Is this: <input type="checkbox"/> Original script <input type="checkbox"/> Transliteration <input type="checkbox"/> Translation <input type="checkbox"/> Other, which:										
Listed name in original script (if not Latin script)											
Script											
Birth data	Place (locality/area/country):						Day:	Month:	Year:		
Alternative birth data ¹	Place (locality/area/country):						Day:	Month:	Year:		
Male / Female											
Nationality or citizenship(s)	Current										
	Previous (add dates)										
State(s) of residence	Current										
	Previous (add dates)										
Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number										
	Issued at		Country:			Province:			District:		
	Record locator		Volume:		Page:		Registration:				
	Issue date		Day:		Month:		Year:				
	Name issued to (in original script)		Name: Father's name: Grandfather's and/or others name:								
	Place of birth noted on tazkira		Province: District: Village:								
Passport, National ID card (other	Document type										
	Document number										

¹ Related to this name. For birth data related to other names (aliases, secondary identities), please fill in annex A. If there is more than one alternative date or place of birth, please provide this information under section I.D.

than Afghan tazkira), residency permit, or similar official document	Issued by					
	Issued at	Country:	Province:	District:		
	Issue date	Gregorian Hijri	Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
			Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Expiry date	Gregorian Hijri	Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
			Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Issued to	Name: Family/Father's name and others: Indicate script: <input type="checkbox"/> Latin <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Other, please specify:				
	Place and date of birth as documented	Place (city/area/country): Day: Month: Year:				
Nationality in document						
Additional information						

Also-known-as	If the individual has aliases/also-known-as names, both current and previous, please complete annex A.

I.B - OTHER IDENTIFYING INFORMATION THAT MAY APPEAR ON THE 1988 SANCTIONS LIST
 Member States are requested to provide the following information to facilitate the identification of the individual concerned.

Title(s)		
Employment / Occupation (please provide dates and nature of employment, in particular regarding positions held in listed groups, undertakings or entities)		
Marital status		
Address	Primary address (city/area/country)	
	Other addresses (current/previous)	
Location (if different from above)	Current	
	Alternative	
Status	Wanted / Subject to arrest warrant	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Detained (please provide date of likely release)	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Convicted / Sentenced (please provide details of sentence)	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
	Others (please see explanatory notes)	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> If yes, please explain:
Relevant INTERPOL Notices	Yes <input type="checkbox"/> No <input type="checkbox"/>Not Known <input type="checkbox"/> Details:	
Other supplementary information		

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)			
Height (cm)		Eye color	
Weight (kg)		Hair color	
Build		Complexion	
Photograph, sketch, computer image attached?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, type(s):		
Other biometric identifiers attached? For example fingerprints, DNA code, iris scan or digital	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, type(s):		

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)	
facial image	
Distinguishing marks and other physical characteristics	
Tribal / ethnic background	
Languages spoken	

I.D – OTHER IDENTIFYING OR ADDITIONAL INFORMATION NOT SPECIFIED ABOVE

Reminder: If the name on an identifying document is different from I.A please provide details of the name structure using annex A. To add particulars from additional documents, make a copy of and fill in annex B. Please provide a copy of the document(s) if possible.

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the individual inscribed in section I.A of this form and those designated individuals inscribed on the 1988 Sanctions List and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan as set out in paragraphs 3 and 4 of resolution 1988 (2011). Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names that already appear on the 1988 Sanctions List with which the individual is associated. In the event that the Committee designates this individual, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 13 of Security Council resolution 1988 (2011).

☐ (a) Participating in the financing², planning, facilitating, preparing or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.³

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

☐ (b) Supplying, selling or transferring arms and related materiel to those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

☐ (c) Recruiting for those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

☐ (d) Otherwise supporting acts or activities of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.⁴

In accordance with paragraph 12 of resolution 1988 (2011), the statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee. It may also be used to develop the narrative summary of

² The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs and their precursors originating in and transiting through Afghanistan (resolution 1988 (2011), para. 5).

³ Resolution 1988 (2011), paras. 3 and 5.

⁴ Resolution 1988 (2011), paras. 11 and 12.

reasons for listing described in paragraph 13 of resolution 1988 (2011).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

Please specify whether the Committee may make known, upon request from another Member State, your status as a designating State for this name.

☐ Yes

☐ No

V. INTERPOL COOPERATION

Pursuant to paragraph 11 of resolution 1988 (2011), the Committee may request INTERPOL to issue an INTERPOL-United Nations Security Council Special Notice to alert national law enforcement authorities in INTERPOL member countries that the individual is subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **Designating State** for this name (INTERPOL would then contact your country's permanent mission to the United Nations in New York with relevant inquiries).

☐ Yes

☐ No

Comments:

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then communicate directly with the nominated point of contact with relevant inquiries).

☐ Yes

☐ No

Comments:

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number:

Fax number:

E-mail address:

ANNEX A – Also-Known-As (AKA)

Make as many copies of this sheet as needed. Please provide one sheet for each alias, also-known-as name or alternative script. This level of detail is necessary because transmitting names by describing their structure is crucial to effective implementation. Fields where no applicable data is available may remain blank.

Type of alias/AKA: <input type="checkbox"/> A separate identity <input type="checkbox"/> Name variation <input type="checkbox"/> Spelling variation <input type="checkbox"/> Nickname <input type="checkbox"/> Nom-de-guerre <input type="checkbox"/> Former legal name <input type="checkbox"/> Other, explain:	
Is this alias/AKA derived from, otherwise linked to, or an alternative form of the main name or other alias/AKA: Yes <input type="checkbox"/> No <input type="checkbox"/> Which name: Please explain link:	
Is this alias/AKA sufficient for positive identification: Yes <input type="checkbox"/> No <input type="checkbox"/> Notes:	
OR is it a ‘weak AKA’⁵ (one that is commonly used but insufficient in itself to identify the person): Yes <input type="checkbox"/> No <input type="checkbox"/> Notes:	
Any other information that may help explain the nature of this AKA:	

IDENTIFYING AKA INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST										
Full AKA (in Latin script)										
Name components of AKA		Describe name component								Other (e.g. mother’s name), please describe
		First name	Father	Grandfather	Tribe	Takhallus (adjective)	Geographical	Middle name	Last name	
1.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Script status	Is this: <input type="checkbox"/> Original script <input type="checkbox"/> Transliteration <input type="checkbox"/> Translation <input type="checkbox"/> Other, which:									
Listed name in original script (if not Latin script)										
Script										
Birth data	Place (locality/area/country):						Day:	Month:	Year:	
Alternative birth data ⁶	Place (locality/area/country):						Day:	Month:	Year:	
Any additional information (please provide detailed background on this alias)										

⁵ These include nicknames, noms-de-guerre, very common acronyms and names by which the individual refers to himself, or others refer to him. While it is not expected that names in legal documents will be screened against these AKAs, they can yet be useful for identification purposes, particularly in determining whether a possible match triggered by other identifier information is accurate.

⁶ Related to this name. For birth data related to other names than the name specified above under I.A. (aliases, secondary identities), please fill in annex A. If there is more than one alternative date or place of birth, please provide this information under any additional information.

ANNEX B –Identification and other official documents

Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number							
	Issued at	Province: District: Village/neighborhood:						
	Record locator	Volume:	Page:	Registration:				
	Issue date	Day:	Month:	Year:				
	Name issued to (in original script)	Name: Father's name: Grandfather's name:						
	Place of birth noted on tazkira	Province: District: Village:						
Passport, National ID (card other than Afghan tazkira), residency permit, or similar official document	Document type							
	Document number							
	Issued by							
	Issued at	Country:	Province:	District:				
	Issue date	Gregorian	Day:	Month:	Year:	Original date?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		Hijri	Day:	Month:	Year:	Original date?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	Expiry date	Gregorian	Day:	Month:	Year:	Original date?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
		Hijri	Day:	Month:	Year:	Original date?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	Issued to	Name: Family/Father's name and other names: Indicate script: <input type="checkbox"/> Latin <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Other, which:						
	Place and date of birth as documented	Place (city/area/country): Day: Month: Year:						
Nationality in document								
Additional information								

STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE 1988 SANCTIONS COMMITTEE FOR LISTING ENTITIES CONSTITUTING A THREAT TO THE PEACE, STABILITY AND SECURITY OF AFGHANISTAN

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1988/index.shtml

or contact the 1988 Monitoring Team at 1988mt@un.org.

1.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST

Member States are requested to provide the Committee with as much information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the entity concerned.

Full name (in Latin script, as to appear on the List)		Acronym:			
Script status		<input type="checkbox"/> Original script <input type="checkbox"/> Transliteration <input type="checkbox"/> Translation <input type="checkbox"/> Other, please specify:			
Name components (Please describe each part of the name in the columns to the right)		Other, please describe			
		General * name component	Legal basis of entity *	Acronym of legal basis *	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Listed name in original script (if not Latin script)		Acronym:			
Script					
Type of entity	Registered company / business <input type="checkbox"/> Unregistered company / business <input type="checkbox"/> Registered non-profit organization <input type="checkbox"/> Unregistered non-profit organization <input type="checkbox"/> Registered group or affiliation <input type="checkbox"/> Unregistered group or affiliation <input type="checkbox"/> Illegal paramilitary / armed group <input type="checkbox"/> Criminal group <input type="checkbox"/> Other <input type="checkbox"/> Please specify:				
Registration details and other information	Registering Government authority in Afghanistan (if applicable) Comments:		<input type="checkbox"/> Afghanistan Investment Support Agency (AISA) <input type="checkbox"/> Ministry of Commerce <input type="checkbox"/> Ministry of Justice <input type="checkbox"/> Ministry of Economy and Planning <input type="checkbox"/> Other, please specify:		
	Incorporation / Registration location		Country: Province: District:		
	Date of incorporation / establishment		Day: Month: Year:		
	Owner / Founder / Legal Representative / Principal Partner(s) / Main Investor(s)*		In original script: Indicate script: If not Latin, name in Latin script:		
	Head Office Address(es) with dates				
	Phone or fax numbers or email				
	Additional information, such as registration number, or comments				
State(s) or region of main activity					
Address(es) in State(s) of main activity (if applicable)					
Branches / subsidiaries (use annex B to report details)					
Parent company (use annex B to report details)					
Leadership and management (use annex C to report details)					
Organizational linkages					

* **General name:** Please list each component separately. **Legal basis of entity:** for example "Incorporated". **Acronym of legal basis:** for example "Ltd.".

* Name(s) entered here will **not** be considered subject to sanctions unless otherwise stated in the letter of submission, in which case separate standard forms for listing individuals should be completed as necessary.

(use annex B to report details)		
Known assets / location of assets / patterns of provision		
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)		
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
Status	In liquidation / suspended / terminated / operating license withdrawn	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
	Operating under caretaker or equivalent	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
	Banned / illegal / clandestine	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:
	Other	Please explain:

Formally-known-as	If the entity has more aliases/previous names (FKA), please fill in annex A.
--------------------------	---

I.B – ADDITIONAL IDENTIFYING OR OTHER INFORMATION NOT SPECIFIED ABOVE

<p>II. BASIS FOR LISTING</p> <p>Member States are requested to indicate in one or more of the fields below the nature of the association between the entity inscribed in section I.A of this form and those designated individuals inscribed on the 1988 Sanctions List and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan as set out in paragraphs 3 and 4 of resolution 1988 (2011). Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names that already appear on the 1988 Sanctions List with which the entity is associated. In the event that the Committee designates this entity, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 13 of Security Council resolution 1988 (2011).</p> <p>(a) Participating in the financing², planning, facilitating, preparing or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.³</p> <p>• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):</p> <p><input type="checkbox"/> (b) Supplying, selling or transferring arms and related materiel to those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²</p> <p>• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):</p> <p><input type="checkbox"/> (c) Recruiting for those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²</p> <p>• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):</p> <p><input type="checkbox"/> (d) Otherwise supporting acts or activities of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²</p> <p>• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):</p>

² The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs and their precursors originating in and transiting through Afghanistan (resolution 1988 (2011), para. 5).

³ Resolution 1988 (2011), paras. 3 and 5.

☐ (e) Undertaking or entity owned or controlled, directly or indirectly by, or otherwise supporting, those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.^{2 and 3}

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the entity meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the entity proposed for listing and any currently listed individual or entity.⁴

In accordance with paragraph 12 of resolution 1988 (2011), the statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 13 of resolution 1988 (2011).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE TO REMAIN CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

Please specify whether the Committee may make known, upon request from another Member State, your Government's status as a designating State.

☐ Yes

☐ No

V. INTERPOL COOPERATION

Pursuant to paragraph 11 of resolution 1988 (2011), the Committee may request INTERPOL to issue an INTERPOL-United Nations Security Council Special Notice concerning this entity to alert national law enforcement authorities in INTERPOL member countries that the entity is subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the entity proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** for this name (INTERPOL would then contact your country's permanent mission to the United Nations in New York with relevant inquiries).

☐ Yes

☐ No

Comments:

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then communicate directly with the nominated point of contact with relevant inquiries).

☐ Yes

☐ No

Comments:

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number:

Fax number:

⁴ Resolution 1988 (2011), paras. 11 and 12.

E-mail address:

ANNEX A – Additional or previous names (FKA)

Make as many copies of this sheet as needed. Please provide one sheet for each alternative name or alternative script. This level of detail is necessary because transmitting names by describing their structure is crucial to effective implementation. Fields may remain blank where no applicable data is available.

Type of AKA: <input type="checkbox"/> A separate identity <input type="checkbox"/> Name variation <input type="checkbox"/> Spelling variation <input type="checkbox"/> Former legal name (FKA) <input type="checkbox"/> Other, please specify:					
Is this AKA derived from, otherwise linked to, or an alternative form of the main name or other AKA: Yes <input type="checkbox"/> No <input type="checkbox"/> Which name: Please explain link:					
Is this AKA sufficient for positive identification: Yes <input type="checkbox"/> No <input type="checkbox"/> Notes:					
OR is it a ‘weak AKA’⁵ (one that is commonly used but insufficient in itself to identify the entity): Yes <input type="checkbox"/> No <input type="checkbox"/> Notes:					
Any other information that may help to understand the nature of this AKA:					
AKA or FKA		Acronym:			
Script					
Script status		<input type="checkbox"/> Original script <input type="checkbox"/> Transliteration <input type="checkbox"/> Translation <input type="checkbox"/> Other, which:			
Type of name	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Please specify:				
Name components (Please describe each part of the name in the columns to the right)					Other, please describe
		General* name component	Legal basis of entity *	Acronym of legal basis *	
1.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Any additional information (please provide detailed background on this name)					

⁵ These include monikers, noms-de-guerre, very common acronyms and names by which the designated entities refer to themselves, or are referred to. While it is not expected that names in legal documents will be screened against these AKAs because this might generate a large number of false matches, they can yet be useful for identification purposes, particularly in determining whether a possible match triggered by other identifier information is accurate.

* **Generic entity name:** Part of entity name without any specified type. **Legal type of entity:** Part of name referring to the form in which the legal entity has been established, for example “Incorporated”. **Acronym of legal status:** e.g.. “Ltd.” or “Inc.”

ANNEX B – Information about related entities

Make copies of this sheet for each related entity as needed and fill them in where relevant. Fields may remain blank where no applicable data is available. Information provided on this annex may also appear on the 1988 Sanctions List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B of the standard form. Entities referred to in this annex that do not already appear on the 1988 Sanctions List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form should be completed.

RELATED ENTITY (describe type of relation)		Shared ownership <input type="checkbox"/> Subsidiary <input type="checkbox"/> Parent company <input type="checkbox"/> Shared management <input type="checkbox"/> Successor company / organization <input type="checkbox"/> Participation in regional / special network <input type="checkbox"/> Predecessor company / organization <input type="checkbox"/> Other <input type="checkbox"/> Please specify:	
Permanent reference number (if listed)			
Full Name			
Type of entity	Registered company / business <input type="checkbox"/> Registered non-profit organization <input type="checkbox"/> Registered group or affiliation <input type="checkbox"/> Illegal paramilitary / armed group <input type="checkbox"/> Other <input type="checkbox"/> Please specify:		Unregistered company / business <input type="checkbox"/> Unregistered non-profit organization <input type="checkbox"/> Unregistered group or affiliation <input type="checkbox"/> Criminal group <input type="checkbox"/>
Registration details and other information	Registering Government authority in Afghanistan (if applicable) Comments:	<input type="checkbox"/> Afghanistan Investment Support Agency (AISA) <input type="checkbox"/> Ministry of Commerce <input type="checkbox"/> Ministry of Justice <input type="checkbox"/> Ministry of Economy and Planning <input type="checkbox"/> Other, which:	
	Incorporation / Registration location	Country: Province: District:	
	Incorporation / Foundation date	Day: Month: Year:	
	Senior Manager / Owner / Founder / Legal representative / Investor name (if applicable)*	In original script: Indicate script: If not Latin, name in Latin script:	
	Address(es) with dates		
	Phone or fax numbers or email		
	Additional information recorded in the registration document or comments		
State(s) or region of main activity			
Address(es) in State(s) of main activity (if applicable)			
Branches / subsidiaries (use annex B to report details)			
Parent company (use annex B to report details)			
Leadership and management *			
Organizational linkages			
Known assets / location of assets / patterns of provision			
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)			
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:	
Status	In liquidation / suspended / terminated / operating license withdrawn	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:	
	Operating under caretaker or equivalent	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:	
	Banned/illegal/clandestine	Yes <input type="checkbox"/> No <input type="checkbox"/> ...Not Known <input type="checkbox"/> If yes, please explain:	
	Other	Please explain:	

* Name(s) entered here will **not** be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form for listing the individual should be filled in for the individuals to be subject to sanctions

--	--	--

ANNEX C – Information about leadership and management

Make copies of this sheet for each related individual as needed and fill them in where relevant. Fields may remain blank where no applicable data is available. Information provided on this annex may also appear on the 1988 Sanctions List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. in the standard form. Individuals referred to in this annex that do not appear on the 1988 Sanctions List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form (for individuals) should be completed.

MANAGER, MEMBER OF THE LEADERSHIP OR OTHER AFFILIATE OF THE ENTITY																															
Position or role in the entity	e.g. Supreme leader, Top / Mid / Lower management, Operative, Chairman, Sole owner, Partner:																														
Any additional information about linkage between this individuals and the entity submitted for listing																															
Full name (in Latin script)																															
Name components (Please describe each part of the name in the columns to the right)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="10" style="text-align: left; padding: 5px;">Describe name component</th> <th rowspan="2" style="padding: 5px;">Other (e.g. mother's name), please describe</th> </tr> <tr> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">First name</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Father</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Grandfather</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Tribe</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Takhallus (adjective)</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Geographical</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Middle name</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Last name</th> <th style="writing-mode: vertical-rl; transform: rotate(180deg); padding: 5px;">Religious title</th> </tr> </thead> </table>											Describe name component										Other (e.g. mother's name), please describe	First name	Father	Grandfather	Tribe	Takhallus (adjective)	Geographical	Middle name	Last name	Religious title
Describe name component										Other (e.g. mother's name), please describe																					
First name	Father	Grandfather	Tribe	Takhallus (adjective)	Geographical	Middle name	Last name	Religious title																							
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
8.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																				
Script status	Is this: <input type="checkbox"/> Original script <input type="checkbox"/> Transliteration <input type="checkbox"/> Translation <input type="checkbox"/> Other, which:																														
Listed name in original script (if not Latin script)																															
Script																															
Birth data	Place (locality/area/country):							Day:	Month:	Year:																					
Alternative birth data ⁷	Place (locality/area/country):							Day:	Month:	Year:																					
Male / Female																															
Nationality or citizenship(s)	Current																														
	Previous (add dates)																														
State(s) of residence	Current																														
	Previous (add dates)																														
Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number																														
	Issued at																														
	Country: Province: District:																														
	Record locator																														
	Volume: Page: Registration:																														
	Issue date																														
	Day: Month: Year:																														
	Name issued to (in original script)																														
	Name: Father's name: Grandfather's name:																														
	Place of birth noted on tazkira																														
	Province: District: Village:																														
Passport,	Document type																														

⁷ Related to this name. For birth data related to other names (aliases, secondary identities), please fill in annex A. In case there are more than one alternative dates or places, please provide this information under section I.D.

National ID card (other than Afghan tazkira), residency permit, or similar official document	Document number					
	Issued by					
	Issued at		Country:	Province:	District:	
	Issue date	Gregorian Hijri	Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
			Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Expiry date	Gregorian Hijri	Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
			Day:	Month:	Year:	Original date? <input type="checkbox"/> Yes <input type="checkbox"/> No
	Issued to		Name: Family/Father's and others name: Indicate script: <input type="checkbox"/> Latin <input type="checkbox"/> Dari <input type="checkbox"/> Pashtu <input type="checkbox"/> Other, which:			
	Place and date of birth as documented		Place (city/area/country): Day: Month: Year:			
Nationality in document						
Additional information						

OTHER IDENTIFYING OR ADDITIONAL INFORMATION NOT SPECIFIED ABOVE

Guidance for an enlisted individual or proscribed entity (Petitioner) requesting review of the designation by the Proscription and Enlistment Committee, Ministry of Home Affairs

FORMAT AND TRANSMISSION

There is no prescribed format for the request. What is important is to make the request in writing and include all the necessary information as described in the Content section below.

The request may be transmitted by any means which affords a record in writing – hand delivered, post, e-mail, or fax. For requests submitted by post, in case clarification or follow up is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Proscription and Enlistment Committee
Ministry of Home Affairs
Room xxxxx
Building No. XX
Secretariat
Dhaka
Bangladesh

Tel: +
Fax: +
E-mail:

CONTENT

A request for a review of listing should make reference to the relevant entry in the List and should include the following information:

- 1) Identification information for the Petitioner. In the case of an individual especially:
 - (a) full name including any middle names or initials, father names and mother's names;
 - (b) date and place of birth;
 - (c) nationality - if more than one please provide all;
 - (d) Current address; and
 - (e) any other information which may help to clarify any issues of identity.
- 2) In the case of an entity especially:
 - (a) full name of the entity including any alternative names used;
 - (b) if applicable, place and date of incorporation/registration;
 - (c) Location of current operation(s); and

(d) any other information which may help to clarify any issues of identity.

2) A statement of the reasons/ justification for review. This section should be as detailed as possible. The Petitioner should address any specific designating criteria set out in the Narrative Summary (if applicable). If, in addition, the Petitioner has any information or suspicions as to the basis for his or her inclusion on the list, those should be included along with any explanations, arguments or submissions relating to the same.

3) Where available, copies of any documents or other supporting or explanatory material.

4) If applicable, a description of any court proceedings or litigation of relevance to the review request.

5) If applicable, a reference to any previous request for review made by the same Petitioner.

6) Where the request is being made by a person acting on behalf of a listed person, a document signed by the Petitioner, authorizing the person to act on his or her behalf.

FURTHER INFORMATION

Should you require assistance or further information please contact the Proscription and Enlistment Committee at: [insert email]

1267 Committee: Fact Sheet on the Assets Freeze and its Exemptions

1. This fact sheet provides basic information on the exemptions to the assets freeze measure imposed by the Security Council on the individuals and entities on the Al-Qaida Sanctions List.
2. By resolution 1452 (2002), as amended by resolution 1735 (2006), the Security Council decided that the assets freeze detailed in paragraph 1 (a) of resolution 1989 (2011) does not apply to funds and other financial assets or economic resources that have been determined by the relevant State(s) to be:
 - a. necessary for basic expenses, including payments for foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges, or exclusively for payment of reasonable professional fees and reimbursement of incurred expenses associated with the provision of legal services, or fees or service charges for routine holding or maintenance of frozen funds or other financial assets or economic resources, after notification by the relevant State(s) to the Committee of the intention to authorize, where appropriate, access to such funds, assets or resources and in the absence of a negative decision by the Committee within 3 working days of such notification;
 - b. necessary for extraordinary expenses, provided that such determination has been notified by the relevant State(s) to the Committee and has been approved by the Committee;
3. In addition, paragraph 2 of resolution 1452 (2002) and paragraph 9 of resolution 1989 (2011) stipulates that all States may allow for the addition to accounts subject to the assets freeze of:
 - a. interest or other earnings due on those accounts, or
 - b. payments due under contracts, agreements or obligations that arose prior to the date on which those accounts became subject to the assets freeze
 - c. any payment in favour of listed individuals, groups, undertakings or entities provided that any such interest, other earnings and payments continue to be subject to the assets freeze.
4. The provision of the Committee's guidelines for the application of exemptions to the assets freeze pursuant to resolution 1452 (2002), as amended by resolution 1735 (2006), and pursuant to resolution 1989 (2011), can be found in Section 11. Details on the information that notifications and requests should, as appropriate, include are available in Section 11, paragraph (c).
5. Any exemption notification/request should be submitted by the relevant State(s) to the Committee's Chairman through the Committee's Secretariat (e- mail: SC-1267-Committee@un.org or fax: +1 212 963 1300).

ANNEXURE 12

Guidance for an individual or entity seeking delisting (Petitioner) from the Al-Qaida Sanctions List (List) of the Security Council's Al-Qaida Sanctions Committee through submitting a request directly to the Office of the Ombudsperson.

FORMAT AND TRANSMISSION

There is no prescribed format for the request. What is important is to include all the necessary information as described in the Content section below. While it is preferable for the request to be submitted in, or accompanied by a translation into, one of the six official languages of the United Nations (Arabic, Chinese, English, French, Russian or Spanish) requests in other languages will be accepted.

The request may be transmitted by any means which affords a record in writing – post, e-mail, or fax. For requests submitted by post, in case clarification or follow up is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Office of the Ombudsperson
Room TB-8041D
United Nation
New York, NY 10017
United States of America

Tel: +1 212 963 2671
Fax: +1 212 963 1300/3778
E-mail: ombudsperson@un.org

CONTENT

A request for delisting should make reference to the relevant entry in the List and should include the following information:

1) Identification information for the Petitioner. In the case of an individual especially:

(a) full name including any middle names or initials, father and grandfather's names as may be applicable, as well as any other names or pseudonyms used by the Petitioner;

(b) date and place of birth;

(c) nationality - if more than one please provide all;

(d) State of current residence; and

(e) any other information which may help to clarify any issues of identity.

2) In the case of an entity especially:

(a) full name of the entity including any alternative names used;

(b) if applicable, place and date of incorporation/registration;

(c) State(s) of current operation(s); and

(d) any other information which may help to clarify any issues of identity.

2) A statement of the reasons/ justification for delisting. This section should be as detailed as possible. The Petitioner should address any specific designating criteria set out in the Consolidated List entry or in the Narrative Summary (if applicable). If, in addition, the Petitioner has any information or suspicions as to the basis for his or her inclusion on the list, those should be included along with any explanations, arguments or submissions relating to the same.

3) Where available, copies of any documents or other supporting or explanatory material.

4) If applicable, a description of any court proceedings or litigation of relevance to the delisting request.

5) If applicable, a reference to any previous request for delisting made by the same Petitioner through the Focal Point or otherwise.

Note: While resolution 2083 (2012) sets out a procedure for repeat requests, that process will be applicable only to repeat requests to the Ombudsperson. Thus, where a previous request has been made through the Focal Point or otherwise, the request to the Office of the Ombudsperson will be considered as an initial one.

6) Where the request is being made by a person acting on behalf of a listed person, a document signed by the Petitioner, authorizing the person to act on his or her behalf.

FURTHER INFORMATION

Should you require assistance or further information please contact the Office of the Ombudsperson at: ombudsperson@un.org